



# Awards Ceremony 2016

Recognising Excellence



The Chartered Institute for Securities & Investment  
thanks the principal sponsor



**Wealth  
Management**

The awards ceremony, now in its 23rd year, celebrates and recognises the achievements of the Chartered Institute for Securities & Investment's (CISI's) exam candidates globally. The CISI congratulates all of this year's award winners for outstanding exam success and for reaching their professional goals.

 #CISlexam

# Programme

- 6:15pm Seating
- 6:25pm Opening procession
- 6:30pm Welcome and opening address  
Sir Alan Yarrow, Chartered FCSI(Hon), Lord Mayor Locum Tenens  
Lydia Romero, Global Director of Learning, CISI
- 6:35pm Presentation of awards  
Clare Gore-Langton, Chartered FCSI(Hon), Investment Director, Rathbones and  
Chairman, CISI Educational Trust
- 6:40pm Overview of CISI qualifications during 2015  
Clive Shelton, Chartered FCSI, Group Compliance Director, International Financial Data  
Services (UK) and Chairman, CISI Examinations Board  
Presentation of further awards
- 7:00pm Keynote address  
Sir Alan Yarrow, Chartered FCSI(Hon), Lord Mayor Locum Tenens  
Presentation of further awards
- 7:25pm Closing remarks  
Lydia Romero, Global Director of Learning, CISI
- 7:30pm Drinks reception

## Hosted by

Lydia Romero

Global Director of Learning, CISI

Lydia Romero worked in the education management industry for over ten years, primarily at the University of Cambridge where she led on a number of executive education projects.

Prior to entering the education sector, Lydia worked in a number of senior roles in industry, most notably at Virgin Atlantic and Time Warner. Her experience in the industry has given her a keen understanding of the needs of business and this, in conjunction with her experience in academia, has extended to a passion for professional development with a particular focus on the finance industry.

Her role at the CISI includes leading, developing and delivering the Institute's products and services in the areas of Qualifications, Member Services and Learning Resources.



# The Chartered Institute for Securities & Investment

"To set standards of professional excellence and integrity for the securities and investment industry, providing qualifications and promoting the highest level of competence to our members, other individuals and firms."

The CISI is the professional body of choice for professionals in capital markets and corporate finance, compliance and risk, financial planning, Islamic finance, operations and wealth management in the UK and in a growing number of major financial centres globally. Formed in 1992 by London Stock Exchange practitioners, we now have a global community of more than 40,000 members in 116 countries and in 2015 more than 40,000 CISI exams were sat in 80 countries.

We believe professionalism is the blend of three elements:

**Knowledge** - gaining the initial competence to do your job through professional qualifications

**Skills** - continuing professional development (CPD) and on-going learning which enables you to maintain competence through a professional body membership

**Behaviour** - upholding the highest standards of integrity by signing up to a professional body's code of conduct.

We believe a professional is someone who has a combination of knowledge and skills, and acts with integrity. Our purpose, as a professional body, is to provide and encourage the attributes necessary to be a professional.

## Membership

CISI membership is a compelling marker of professionalism in the financial services industry and as a member of a global Chartered professional body, CISI members can connect with thousands of finance professionals worldwide.

Gaining initial competence through professional level qualifications is just the beginning. Maintaining knowledge, developing skills and demonstrating a commitment to upholding the highest standards of integrity and ethics ensures our members stand out in their profession.

Members' on-going development as professionals is core to the CISI and a key objective as a professional body. The growing portfolio of continuing professional development (CPD) resources available ensures that our members have access to many opportunities to learn, develop, network and progress in their careers.

Members enjoy a wide range of benefits. They can choose to attend more than 350 events annually, access CISI TV to watch webcasts and recent CPD events online, read the S&I Review which provides the latest news, views and analysis from financial services experts as well as updating their knowledge on key topics of interest using Professional Refresher, our online training solution. CISI members also benefit from their own online CPD record which automatically tracks the CPD hours they've completed with the CISI, and they have the option to add additional CPD hours too.

Whatever stage of your career you are at, membership of the CISI highlights to colleagues, clients and the wider industry a commitment to professionalism and the highest standards of knowledge, skills and behaviour.

[cisi.org/membership](https://www.cisi.org/membership)

# Qualifications A-Z

CISI qualifications, developed by practitioners for practitioners, equip financial services' professionals with the practical knowledge needed for their role, whether starting out in the industry, or looking to specialise in a chosen discipline.

The Institute is recognised as an awarding body by Ofqual. Our qualifications feature prominently on the Financial Conduct Authority (FCA) qualifications tables, and other regulatory bodies throughout the world either mandate or formally recognise and endorse CISI qualifications for use in the financial services industry.

This year, our qualifications attracted over 40,000 exam entries, with exams delivered in 80 countries, covering capital markets and corporate finance, operations, compliance and risk, wealth management, financial planning and Islamic finance. We continue to develop our qualifications to meet the demands of the changing financial services industry.

## Advanced Certificate in Global Securities Operations

The Advanced Certificate in Global Securities Operations (AGSO) is a higher-level qualification aimed at individuals working in operations and/or administration, who are seeking to develop their managerial, professional and technical skills as operations specialists in the financial services industry. It leads to Associate membership of the Institute.

## Capital Markets Programme

The Capital Markets Programme offers a study pathway for practitioners in the capital markets wholesale sector. The programme offers flexibility, enabling practitioners to choose units of study covering Securities, Derivatives and Financial Derivatives. It leads to Associate membership of the Institute.

## Certificate in Corporate Finance

The Certificate in Corporate Finance is an appropriate exam for the activity of advising on investments in the course of corporate finance business. It leads to Associate membership of the Institute.

## Chartered Wealth Manager Qualification

The Chartered Wealth Manager Qualification was developed in conjunction with leading industry practitioners and members of the Wealth Management Association (WMA), formerly known as the Association of Private Client Investment Managers and Stockbrokers (APCIMS). The programme is the CISI's flagship high-level wealth management qualification, which leads to full Membership and, eventually, Chartered Fellowship of the Institute.

## CISI Diploma

The CISI Diploma has been one of the Institute's most well-known senior professional exam programmes, proving mastery of particular aspects of the financial services industry. A series of dedicated Diploma pathways in Capital Markets, Investment Compliance and Investment Operations now replace the CISI Diploma which will be awarded for the last time in December 2016.

## Combating Financial Crime

Combating Financial Crime was developed by international specialists and tackles the financial crime agenda. The qualification takes a global view of transnational crime and illustrates practical defences, including the Bribery Act (2010). It is a stand-alone qualification, as well as a unit within the Investment Operations Certificate and the Capital Markets Programme.

## Diploma in Capital Markets

Developed to offer a higher-level pathway in the capital markets sector, this Diploma offers practitioners in wholesale finance a graduate-level specialist qualification. It is relevant to those pursuing careers in banking and insurance, corporate finance and analysis, treasury and financial controlling functions, equity analysis and fixed-income analysis.

## Diploma in Investment Compliance

The Diploma in Investment Compliance recognises the importance of professionals developing the knowledge of, and skills in, financial services regulation and compliance that are required to work in the securities and investment industry. It leads to full Membership and, eventually, Chartered Fellowship of the Institute.

## Diploma in Investment Operations

The Diploma in Investment Operations is the most senior and challenging award for operations professionals. It offers practitioners the opportunity to specialise in the administration of investments, custody and settlement and operations management. It leads to full Membership and, eventually, Chartered Fellowship of the Institute.

## Fundamentals of Financial Services

Fundamentals of Financial Services is aimed at new and junior employees working in financial services, as well as school leavers who are considering a career in finance. It is an important first step in developing the essential basic knowledge required for working in financial services, covering commonly used financial products, concepts and terminology. It is available in English, Arabic and Spanish.

## Global Financial Compliance

Global Financial Compliance was developed to provide candidates with a broad understanding of the compliance issues that arise within the financial services industry. The qualification is suitable for all compliance staff, particularly compliance officers. It is a stand-alone qualification, as well as a unit within the Investment Operations Certificate and the Capital Markets Programme.

## ICAEW/CISI Diploma in Corporate Finance

The ICAEW/CISI Diploma in Corporate Finance is a qualification jointly developed and awarded by the Institute of Chartered Accountants in England and Wales (ICAEW) and the CISI. The syllabus was developed by corporate financiers to ensure it is work-related and transaction-oriented. It is recognised by the FCA as an appropriate exam for the activity of advising on investments in the course of corporate finance business. It assures a clear progressive pathway from the CISI Certificate in Corporate Finance and successful completion leads to full CISI Membership and ICAEW Corporate Finance designatory letters (CF).

## International Certificate in Advanced Wealth Management

The Level 4 International Certificate in Advanced Wealth Management is a higher-level pathway for wealth management professionals who have achieved the Level 3 International Certificate in Wealth and Investment Management. It explores the wide range of assets and investment products available in the market at an advanced level and ensures that wealth managers and advisers know how to assess a client's current financial position, make suitable investment recommendations, and respond appropriately to changes in the client's circumstances and alterations in the market.

## International Certificate in Wealth & Investment Management

The International Certificate in Wealth & Investment Management has been designed to meet the needs of those firms who want to ensure that their staff can provide quality advice. The qualification builds on the former International Certificates in Wealth Management/Investment Management and covers the essentials of financial planning, private client asset management, fund management and advisory functions. It is an entry-level qualification for international candidates working in private banking and wealth management roles.

## International Introduction to Investment

The International Introduction to Investment has been specifically designed to meet the needs of new entrants to the investment industry overseas. It gives an overview of all areas of investment and provides firms with a benchmark entry-level qualification which can be used for induction purposes. As well as being a stand-alone benchmark exam, it is also a technical unit within the Investment Operations Certificate. It is available in Arabic as well as English and Spanish.

## Introduction to Investment – the Foundation Qualification

This qualification was specifically designed to meet the needs of new entrants to the industry and provides an overview of all areas of investment. The CISI worked in close collaboration with the former Investment Management Association (now the Investment Association) to develop this stand-alone qualification, which offers staff in a wide range of roles a valuable understanding of the language of the industry and provides firms with a benchmark entry-level exam, which can be used for induction.

## Investment Advice Diploma

The CISI's RDR-compliant (Retail Distribution Review) Investment Advice Diploma (IAD) was developed to provide employees advising on and/or dealing in securities or derivatives and employees advising on packaged products with the knowledge and skills required for their job roles. It has been approved by the FCA as an appropriate qualification for these activities, as well as the activity of managing investments. It leads to Associate membership of the Institute.

## Investment Operations Certificate

The Investment Operations Certificate (IOC) is the industry's leading benchmark qualification for administration, settlement and operations staff. It leads to Associate membership of the Institute. We continue to review and refresh this qualification based on industry feedback and have recently introduced new units in Client Money and Assets, Pensions Administration and Platforms, Wealth Management and Service Providers.

## Islamic Finance Qualification

The Islamic Finance Qualification is an initiative of the Central Bank of Lebanon and is delivered in partnership with the École Supérieure des Affaires in Beirut. It covers Sharia'a-compliant financial services in a practical way and the qualification is also available in Arabic. It leads to Associate membership of the Institute.

## IT in Investment Operations

This qualification was developed for those staff working in financial services who wish to develop their knowledge and understanding of IT needs, specific to the financial services arena. It is a stand-alone qualification, as well as a unit within the Investment Operations Certificate.

## Managing Operational Risk in Financial Institutions

The Level 4 Certificate in Managing Operational Risk in Financial Institutions is a higher-level qualification for risk professionals who may have achieved Operational Risk as part of the Investment Operations Certificate and for practitioners who are seeking to increase their knowledge in the discipline. It also offers a pathway to the higher-level CISI operational risk qualification, the Diploma in Investment Operations. It leads to Associate membership of the Institute.

## Private Client Investment Advice & Management

The Level 6 Certificate in Private Client Investment Advice & Management (PCIAM) was designed to enable existing FCA-authorized practitioners to demonstrate their broad understanding of the principles of private client investment advice within the context of the current regulatory environment. It leads to full Membership and, eventually, Chartered Fellowship of the Institute.

## Risk in Financial Services

This qualification was devised to offer staff employed within financial services a global introduction to the key risks that arise in the industry. It is a stand-alone qualification, as well as a unit within the Investment Operations Certificate and the Capital Markets Programme.

# New Qualifications

## Managing Cyber Security

Our Managing Cyber Security qualification provides candidates with a basic understanding of the threat of cybercrime in the financial services industry, its associated risks and how it can be managed. It is a stand-alone qualification, as well as a unit within the Investment Operations Certificate and the Capital Markets programme.

## Fundamentals of Islamic Banking and Finance

Developed in conjunction with the Bahrain Institute of Banking and Finance, Fundamentals of Islamic Banking and Finance covers the key Islamic banking and finance principles and concepts including Islamic economics and its worldview, infrastructure and history; Islamic business ethics; and Sharia'a and Fiqh principles.

[cisi.org/qualifications](https://www.cisi.org/qualifications)

Please note that new qualifications must normally be running for at least a year before prizes are awarded to candidates at the awards ceremony.

Thank you to all the organisations that have sponsored prizes for this year's winners, supported candidates taking exams and contributed to the success of this event.



# CISI Scholarships and Prizes

---

## Euroclear Prize in Memory of Andrew Winckler

Andrew Winckler had a long career in the financial services industry, both as a practitioner and in regulation as former Chief Executive of both the Securities & Investment Board and the precursor to the FSA (now the FCA). Andrew was latterly a partner of Ernst & Young and chaired its regulatory practice in the UK. He was also a member of the board of Euroclear and a Commissioner of the Jersey Financial Services Commission, until he died at a tragically early age in 2007. In recognition of Andrew's contribution to financial services in the UK, and to preserve his memory, Euroclear generously agreed to sponsor this award for ten years. This is the ninth year of the award.

## Hoare Nairne Scholarship

The CISI Educational Trust awards a bursary of £2,500 towards study costs to one UK resident a year. The Hoare Nairne Scholarship helps the successful candidate study towards the CISI Chartered Wealth Manager Qualification. Well done to Ross Crake who was awarded the Hoare Nairne Scholarship in 2015.

The CISI is currently accepting applications for 2017. To be considered for the scholarship, candidates must hold an appropriate benchmark qualification and submit a 1,500-word essay as well as a supporting statement. Further information on the Hoare Nairne Scholarship can be obtained from [mastersscholarship@cisi.org](mailto:mastersscholarship@cisi.org)

## In Honour and Memory of Pen Kent FCSI(Hon)

The CISI noted, with deep regret, the passing of Pen Kent, a long-standing Honorary Fellow, in December 2013. Pen spent his entire working life, from the early 1960s, at the Bank of England. His career was marked by a range of increasingly important posts within the Bank, prior to his appointment in 1988 as Associate Director, and in 1994 as Executive Director.

Pen Kent's main legacy to the membership of the CISI, and to the securities industry as a whole, is CREST, the system for equity settlement. Pen chaired and steered the project to successful implementation. When completed, CREST took much of the complication, risk and cost out of equity settlement. It also removed a barrier to capacity in the London Stock Exchange, making possible the increased trading volumes which followed. We owe much of this benefit to Pen's foresight and leadership. The highest achievement in the Investment Operations Certificate in 2015 is awarded in his honour and memory.



# Ensuring Quality and Relevance

The CISI wishes to express its gratitude to the many individual practitioners who give their time and expertise in order to ensure that its qualifications continue to meet the needs of the industry. The relevance, quality and standards of the Institute's qualifications are scrutinised by the Examinations Board, which is supported by six qualification assessment boards, 41 syllabus panels and over 300 practitioners.

Each unit in every qualification has its own syllabus panel, comprising leading industry practitioners. These practitioners contribute as senior examiners and by writing examination questions. The qualifications remain valid and fit for purpose because of their efforts. We thank them all. During 2015 the Examinations Board was chaired by Clive Shelton, Chartered FCSI.

## Examinations Board Members during 2015

Clive Shelton, Chartered FCSI  
(Chairman)

IFDS

Adrian Bell

Henley Business School

Jennie Boulton

ICAEW

John Board

Henley Business School

Jennie Bruce

ACCA

Andrew Carter, Chartered MCSI

Towry

Catherine Edwards

ACCA

Neal Franklin, Chartered FCSI

BNP Paribas

Paul Grainger CFP™ Chartered FCSI

Complyport

Lynne Hill, Chartered MCSI

Lynne Hill Consulting

Simon Hills

British Bankers' Association

Sandra Jacobs

Royal Bank of Scotland

Marcus Johnson, Chartered FCSI

NW Brown

David Kanolik MCSI

The Investment Association

Peter Lee

Morgan Stanley

William Macdonald, Chartered MCSI

Craigcrook Management Services

Victoria Nye

The Investment Association

Denis O'Connor FCSI

AFME

Shaun Robertson

ICAEW

Kevin Rothwell, Chartered FCSI

Kevin Rothwell Consultancy

Nick Seaward, Chartered FCSI

Supervision Services Partnership

Nick Swales, Chartered FCSI

Rathbones

Graham Withers, Chartered FCSI

Rathbones

# The CISI in Secondary and Higher Education

As part of its charitable objectives, the CISI is dedicated to promoting financial services as a career to young people and strives continuously to improve education standards within the finance sector. We partner with schools, colleges and universities to offer qualifications which help students understand the industry and prepare them for a career in financial services.

## Diploma in Finance, Risk & Investment

The Diploma in Finance, Risk & Investment is specifically designed to be taught to 16-19 year olds in schools and colleges to develop their financial awareness and boost their CVs with an industry-recognised award. The Diploma consists of two underpinning certificates:

### Certificate for Introduction to Securities & Investment

The Certificate for Introduction to Securities & Investment is designed to provide students in full-time education with an introduction to the financial services industry, with a focus on investments. Candidates combine the industry-recognised Introduction to Securities & Investment with an extended project of 3,000–4,000 words, selected from a range of titles. The qualification carries a UCAS tariff.

### Certificate in Finance, Risk & Decision Making

The Certificate in Finance, Risk & Decision Making provides students with a broad understanding of macroeconomics, accounting, financial markets and investment decision making, as well as the importance of ethics in financial systems.

### Award in Fundamentals of Financial Services

A number of schools and colleges offer the CISI Level 2 professional qualification to their sixth-form students as an enrichment subject. The qualification serves as a differentiator on personal statements for those wishing to enter higher education, and has excellent links with apprenticeships in banking and finance.

## CISI Educational Trust

The CISI Educational Trust was established with the purpose of broadening the scope of the Institute's scholarship awards, to include all CISI Centres of Excellence and other educational establishments which have close links with the Institute.

In particular, the CISI Educational Trust Awards 2016 recognised students at each of the Institute's accredited universities. Each university nominated a student on the CISI accredited programme for achievement on a specified module or project. Undergraduates were awarded £500 and postgraduates £1,000. The winners of the Educational Trust Awards 2016 are listed below and we are delighted to recognise three overall winners.

Comfort Tomisin Aliu (Overall Winner) Cass Business School	Wei Ming Eeh University of the West of England	Yue Shu ICMA, University of Reading
Paul Kim Bong Glasgow Caledonian University	Ines Feucht University of Portsmouth	Connor Tilston Liverpool John Moores University
Anesu Bwawa University College London	Sergey Gostev Imperial College London	James Townsend Aston University
Rejoice Tatenda Chipato Coventry University	Emma Jackson (Overall Winner) University of Birmingham	Yixin Wang University of Edinburgh
Shuo Cui Coventry University	Shuhui Mai Queen Mary University London	Shuwen Yang University of Birmingham
Martin Cuttler Sheffield Hallam University	Alexander Sholarin (Overall Winner) University of Westminster	Ge Yaqi University of Exeter

The Trust also supports initiatives in schools and colleges. It employs a teaching and learning specialist and a peripatetic teacher, in order to support teachers who teach CISI qualifications. In addition, bursaries and awards are available to students. In 2015 the following students were awarded book tokens in recognition of their achievement in CISI qualifications:

Alexander Allen  
Millfield School

Connor Lagadu  
Hautlieu School

Matthew Pullan  
Millfield School

Amy Bramworth  
Epsom College

Will Langdon  
Wellington College

Rhys Russell  
Kemnal Technology College

Becky Chen  
Archbishop Beck Catholic Sports  
College

Liam McLachlan  
Merchant Taylors' Boys' School

Luca Santangeli  
Stewart's Melville College

Tamika Hewitt  
Epsom College

Louise Phillips  
Archbishop Beck Catholic Sports  
College

Charlie Waters  
Wellington College

Sam Humble  
Kemnal Technology College

James Preisig  
Victoria College

---

## The CISI and Apprenticeships

---

Apprenticeships are central to raising skills and employability in the UK. In an attempt to bridge the skills gap, apprenticeships are now central to the government's strategy, with a target of 3 million apprenticeship starts by May 2020. The past 18 months has seen over 200 new apprenticeship standards developed by specialist employer groups, with many of these being launched in 2015. In January 2016 the Institute, in association with the Department for Business Innovation and Skills (BIS), the Skills Funding Agency (SFA), the Chartered Insurance Institute (CII) and the Association of Accounting Technicians (AAT) launched apprenticeships in financial services at a specialist conference with over 150 practitioners from the sector in attendance.

The CISI has had a vital role in developing three new apprenticeship standards in Investment Operations (Level 2 Investment Operations Administrator, Level 3 Investment Operations Technician and Level 4 Investment Operations Specialist). These were developed with a number of financial services employers and led by J.P. Morgan. The Institute's qualifications also feature as a technical option in the following apprenticeships: Level 6 Relationship Manager (Banking), Level 3 Financial Services Administrator, Level 3 Senior Financial Services Customer Adviser and Level 4 Paraplanner.

This year, the Institute is delighted to be working with specialist employer groups to develop new apprenticeships in Financial Advice, Cybercrime and Compliance and Risk which will be ready for launch in late 2016. For more information on the work the CISI does to promote apprenticeships in the industry, email [educationdevelopment@csi.org](mailto:educationdevelopment@csi.org)

# Foundation Level

Our Foundation qualifications provide a comprehensive introduction to the financial services industry and are an important first step in developing the essential basic knowledge required for working in financial services.

## Presented by

Clare Gore-Langton, Chartered FCSI(Hon)  
Investment Director, Rathbones and  
Chairman, CISI Educational Trust

Clare Gore-Langton is an investment director, with over 25 years of experience of working with private client investment portfolios, including trusts, charities and pension funds. She is a Chartered Honorary Fellow of the CISI, of which she was a director from 1992 until 2010. She is now Chairman of the CISI Educational Trust and is a member of the CISI Investment Committee.

Clare is a Trustee of the Laurence Keen Pension Fund and sits on the Multiple Sclerosis Society Investment Committee. She is a Deputy Lieutenant for Somerset.



# Foundation Level

## Fundamentals of Financial Services

**Ali Al Lawati**

National Bank of Oman

**Anggi Anggraini**

Mandiri University Group

**George Corner**

**Drew Davis**

Chartered Institute for  
Securities & Investment

**Jana Dinevova**

**Ali Raza Maher**

**Andrew Palmer ACSI**

Peel Hunt

**Kate Randall**

**Soi Moe Aye Shin**

Myanmar Institute of Finance

**Tedi Suharja**

Mandiri University Group

**Christine Wainwright**

Charles Stanley

**Haden Webster**

## Introduction to Investment - The Foundation Qualification

Sponsored by  
The Investment  
Association

**Eleanor Allan**

**Emily Dutfield**

Courtiers Financial Services

**Lisa Fox**

Fidelity

**Olga Holden**

**Jennifer Poulter**

**Charlotte Ralph**

Euroclear

## Introduction to Investment (International)

**Alexandros Dimaras**

Broadridge Financial  
Solutions

**Prashant Jain**

Emirates NBD

**Hani Nicola Atallah Konquar**

Securities & Commodities  
Authority

## Introduction to Investment (Education)

**Natasha Manrai**

Kingston University

## Certificate for Introduction to Securities & Investment (Schools)

**Connor Lagadu**

Hautlieu School

**Cameron Rule**

Ipswich School

## Certificate in Finance, Risk & Decision Making (Schools)

**Louis Nikko Tirado**

Kemnal Technology College

---

## Educational Trust

---

### Educational Trust Undergraduate Overall Winner

**Emma Jackson**

University of Birmingham

### Educational Trust Postgraduate Overall Winners

**Alexander Sholarin**

University of Westminster

**Comfort Tomisin Aliu**

Cass Business School

# Operations & Islamic Finance

Our Operations and Islamic Finance professional qualifications provide the specialist knowledge and expertise required to foster strong careers in all areas of investment operations and Islamic finance including asset servicing, collective investments, platform services, settlements, securities services, risk and Islamic economics, ethics and regulation.

## Presented by

Clive Shelton, Chartered FCSI

Group Compliance Director, International Financial Data Services (UK) and Chairman, CISI Examinations Board

Clive Shelton is a Director of International Financial Data Services (UK) (IFDS). He is Deputy Chairman and a Non-Executive Director of TISA. He has served on a number of industry bodies, working groups and committees. Clive is Chairman of the CISI Examination Board, President of the CISI Essex Branch and has co-authored various books published by the Institute. He is also a Non-Executive Director of Unicorn Training Group, a provider of learning management systems and content.

After 14 years at the Bank of England Clive joined the M&G Group in 1987 becoming Chief Registrar in 1992 and, following the acquisition of M&G by Prudential, an Associate Director in 2000 and Director of Compliance, responsible for retail operations and third party administration, in 2001. He joined IFDS in February 2003 where he is responsible for overseeing compliance and financial crime prevention across their European and Asian operations.



# Qualifying Level

---

## Islamic Finance Qualification

**Peter Atlee**

## Investment Operations Certificate

### Administration of Settlement & Investments

**Emma Hughes**

Rathbones

### Asset Servicing

**Ajay Agarwal ACSI**

HSBC

**Mohammed Zaid Sharif**

HSBC

### Collective Investment Schemes Administration

**Aine Board**

Bank of New York Mellon

**Robert Joyce**

BNP Paribas

**Daniel Owczarczak**

BNY Mellon

**Sameer Pawar**

State Street Syntel Services

### Derivative Operations

**Olayinka Lawson**

Nomura

### Global Securities Operations

**Ajaykumar Vadher**

State Street Syntel Services

Sponsored by



Sponsored by



### ISA Administration

**Caitlin Campbell**

Fidelity

### IT in Investment Operations

**Thomas Davies**

Baillie Gifford



## Operational Risk

**Dipanjan Chakraborty ACSI**  
HSBC

**James Pearce ACSI**  
Killik & Co

## In honour and memory of Pen Kent FCSI(Hon)

Investment Operations Certificate Overall Winner

**Lisa Fox**  
Fidelity

## Managing Operational Risk in Financial Institutions

**Steven Wyles**

**Marion Zimmer, Chartered MCSI**  
Fitch Ratings

---

## Advanced Level

### Advanced Global Securities Operations

**Felicity Hooper ACSI**  
Investec

### Advanced Operational Risk

**Alice Samuel**  
HSBC

Sponsored by



---

## Professional Level

### Diploma in Investment Operations

Diploma in Investment Operations Overall Winner

**Gareth Hodson MCSI**  
EFG Harris Allday

# Compliance & Risk

Our Compliance and Risk professional qualifications provide the specialist knowledge and expertise required to foster a strong career in global financial compliance, financial crime, governance and risk management or regulation and compliance.

## Qualifying Level

### UK Financial Regulation

**Robin Carthy**

Barclays

**Matthew Luzzetti**

Deutsche Bank

Sponsored by



**BNP PARIBAS**

The bank for a changing world

### Combating Financial Crime

**Stephanie Olmo**

Sponsored by



**Cordium**

### Global Financial Compliance

**Amy Blundell ACSI**

Computershare

### Risk in Financial Services

**Sara Kathryn Burtenshaw**

Old Mutual Wealth

## Professional Level

### Compliance Institute Award 2015

#### Regulation and Compliance

**Sebastian Ion MCSI**

Barclays

**Peter Wilson MCSI**

Taylor Wessing

### Euroclear Prize in Memory of Andrew Winckler

#### Diploma in Investment Compliance

**Sebastian Ion MCSI**

Barclays

# Capital Markets & Corporate Finance

Our Capital Markets and Corporate Finance professional qualifications provide the specialist knowledge and expertise required to foster a strong career in your chosen technical discipline whether it be securities, derivatives, financial derivatives, corporate finance transactions, financial markets, bonds and fixed interest markets or fund management.

## Presented by

Sir Alan Yarrow, Chartered FCSI(Hon),  
Lord Mayor Locum Tenens  
Chairman, CISI

Alan was appointed Chairman of CISI in 2009. He is a Director of Turquoise Global Holdings, a Trustee of the Lord Mayor's Appeal and of the Police Foundation, Vice President of the Royal Mencap Society and Senior Advisor to Kleinwort Benson Group.

Alan is also an Alderman and Magistrate of the City of London and an Almoner of Christ's Hospital. He is a Liveryman of the Fishmongers and a number of other Livery Companies, as well as an Honorary Fellow of the Institute of Chartered Secretaries & Administrators. He is a member of the Takeover Appeal Board, the Advisory Board of the Commonwealth Investment & Advisory Council, a Doctor of Science Honoris Causa of City University London, a Knight of Justice in the Order of St. John and in July 2015 was awarded the Order of the Aztec Eagle, Mexico. In January 2016, Alan was awarded a Knighthood for services to international business, inclusion and the City of London.

Alan was formerly Lord Mayor of the City of London for the year 2014-15, Sheriff in 2011-12, Deputy Chairman of the Financial Services Authority (FSA) Practitioner Panel, Chairman of the London Investment Banking Association (LIBA) (now the Association for Financial Markets in Europe (AFME)), Chairman of Complinet, a Director of Fixnetix, Chairman of Kleinwort Benson Group, Member of the Takeover Panel, the Council of the British Bankers Association and the Chancellor of the Exchequer's High Level Stakeholder Group.



## Qualifying Level

### Certificate in Corporate Finance

#### Corporate Finance Regulation

Sascha Eckert

Irina Kim

Citigroup

#### Corporate Finance Technical Foundations

Andreas Maximilian Niederle

Perella Weinberg Partners

### Certificate in Corporate Finance Overall Winner

Andreas Maximilian Niederle

Perella Weinberg Partners

Sponsored by



**BPP**  
FINANCIAL  
SERVICES

### Capital Markets Programme

#### Derivatives

Fransesco Bignoli

Royal Bank of Scotland

#### Financial Derivatives

Marta Giampietro

Royal Bank of Canada

William Redden

Goldman Sachs

Patrick Stewart

Goldman Sachs

#### Securities

Matthew Hammond

Lloyds Bank

#### Global Securities

Mohamed Kamal Abdelrashid Mohmoud

Securities & Commodities Authority

### Capital Markets Programme Overall Winner

Theodore Woodward

Barclays

### Certificate in Investment Management

Sorin Floti

D. E. Shaw & Co

## CISI Diploma

Bonds & Fixed Interest Markets

**Stuart Saberi**

Sponsored by

**ICMA Centre**

Financial Derivatives

**Kulbir Grewal, Chartered MCSI**

Deloitte

Fund Management

**William Dartnell ACSI**

Quartet Capital Partners

Global Operations Management

**Gareth Hodson MCSI**

EFG Harris Allday

CISI Diploma Overall Winner

**Jocelyn North MCSI**

Ruffer

## Diploma in Corporate Finance

Corporate Finance Techniques & Theory

**George Demosthenous**

KPMG

**Robert Rattray MCSI**

R2 Finance

Corporate Finance Strategy & Advice

**James Cooper**

Lovewell Blake

Diploma in Corporate Finance Overall Winner

**James Cooper**

Lovewell Blake

# Wealth Management

Our Wealth Management and Retail professional qualifications provide the specialist knowledge and expertise required to foster a strong career in wealth and investment management and will qualify you to advise clients under the UK's Retail Distribution Review, as well as other global jurisdictions.

## Qualifying Level

### Certificate in Wealth Management

**Yolanda Delgado Martinez ACSI**  
CaixaBank

**Carlos Morell Madurga ACSI**  
CaixaBank

### International Certificate in Wealth & Investment Management

**Dhruva Brahmachary**

Sponsored by



**Wealth  
Management**

### International Certificate in Advanced Wealth Management

**Matteo Pallotta ACSI**

**Elvis Antobam**

## Investment Advice Diploma

### Derivatives

**Siu Wai Samuel Chung ACSI**  
Citigroup

### Investment, Risk & Taxation

**John Ridout MCSI**  
Credit Suisse

### Private Client Advice

**Katherine Barnett ACSI**  
Seven Investment Management

Sponsored by



**Wealth  
Management**

## Securities

**David Brimer**  
Royal Bank of Canada

**Luke Caraccio**  
Barclays

**Tobias Herbert-Caesari**  
Coutts

**Andrew Savage**  
Dart Capital

**Victoria Walker ACSI**

## UK Regulation & Professional Integrity

**David Dixon**  
Sanlam Private Wealth

## Investment Advice Diploma Overall Winner

**Tobias Herbert-Caesari**  
Coutts

---

## Professional Level

---

### Private Client Investment Advice & Management

**Paul Gomme MCSI**  
Ernst & Young

### Chartered Wealth Manager Qualification

#### Applied Wealth Management

**Sebastian Taylor MCSI**  
Charles Stanley

#### Financial Markets

**Stuart Chilvers**  
Brown Shipley

#### Portfolio Construction Theory

**Justin Richards**  
Ashcourt Rowan Asset Management

### Chartered Wealth Manager Qualification Overall Winner

**Georgia Sherman MCSI**  
Quilter Cheviot

Sponsored by



Sponsored by



Sponsored by





It's not just what you know, it's how you keep

# IN THE KNOW



Gaining professional qualifications is just the beginning.

Our financial professionals around the world know it takes more to stand out.

They've chosen to uphold the highest standards, develop their skills and put their clients first.

They've chosen membership of the CISI.

[cisi.org/investinyourself](http://cisi.org/investinyourself)

**CISI**   
CHARTERED INSTITUTE FOR  
SECURITIES & INVESTMENT

# Awards Ceremony 2016 Achievers

In addition to individuals receiving awards for outstanding exam success, we are pleased to list all those who have achieved: the Investment Operations Certificate (IOC), the industry-recognised benchmark qualification for operations; the Investment Advice Diploma (IAD); the Advanced Certificate in Operational Risk (AOR); the Advanced Certificate in Global Securities Operations (AGSO); Private Client Investment Advice & Management (PCIAM); the Diploma in Investment Compliance (DIC); the Diploma in Investment Operations (DIO); the CISI Diploma; the Certificate in Corporate Finance; the ICAEW/CISI Diploma in Corporate Finance; and the Chartered Wealth Manager Qualification.

## Investment Operations Certificate

**Krishna Kumar A.**  
State Street Syntel Services

**Folakemi Abayomi ACSI**  
Charles Stanley & Co

**Matthew Abbam**  
Business Control Solutions

**Anum Abdul Majid**  
Royal Bank of Scotland

**Daniel Ablakwa**

**Nayla Abou-Khalil**  
Credit Suisse

**Adenike Adebowale**  
SMBC Nikko Capital Markets

**Titilola Adelaja**

**Obiaderi Agbonrofo, Chartered MCSI**  
Lloyds Banking Group

**David Agnew MCSI**  
Smith & Williamson

**Olga Agudova**  
BNY Mellon

**Dipak Aher**  
State Street Syntel Services

**Tofel Ahmed**  
Fidelity

**Sevtap Ahmet**  
International Financial Data Services

**Rehan Akhtar**  
Royal Bank of Canada

**Bhavithra Alagaratnam**  
Fidelity

**Bineeta Al-Ani ACSI**

**Robert Alexander**  
Kames Capital

**Shannon Alford**  
UBS

**Ibrahim Ali**  
Capita

**Nagam Al-Kadhi**

**Stuart Allan MCSI**

**Fraser Allison**  
Baillie Gifford

**Carlos Almeida**

**Jahangir Alom**  
Nomura

**Mashud Al-Rashid**

**Kate Altringham**  
Close Brothers

**Oliver Amos ACSI**  
Smith & Williamson

**Nina Anckar**  
Royal Bank of Scotland

**Dawn Anderson**  
Citibank

**Greg Anderson**  
UBS

**Lindsay Anderson**  
Sarasin & Partners

**Jason Andrews**  
International Financial Data Services

**Hasan Ansari**  
Capita

**Barbara Apanasik**  
BNY Mellon

**Ria Apparicio**  
UBS

**Mark Appleby ACSI**  
Towry

**Michael Argent**  
Morgan Stanley

**Rebecca Ngamihikiteao Therese**

**Armitage**  
Capita

**Saloni Arora**  
Fidelity

**Philip Ashton**  
Newedge Group

**Laura Ashworth**  
James Hay Pension Trustees

**Beverley Askwith**  
BNY Mellon

**Lauren Atkinson**  
Integrated Financial Arrangements

**Anil Awasthi**  
State Street Syntel Services

**Linda Ba**  
Halifax

**Francesca Babington**  
Reyker Securities

**Subhash Badadale**  
Capita

**Ausra Bakaityte**  
BlueBay Asset Management

**Reece Baker**  
Integrated Financial Arrangements

**Daniel Baker**  
M&G Investments

**Oliver Balchin**  
M&G Investments

**Gary Ballance**  
BNY Mellon

**Andrew Banks**  
J.P. Morgan

**Abiola Banwo**  
Premier Asset Management

**Nadege Barnes**  
Euroclear

# Awards Ceremony 2016 Achievers

Amy Barnes	Lucius Beyer BNY Mellon	Alyson Boxall Invesco Perpetual
Sean Barnett Schroders	Pankaj Bhagat BNY Mellon	Martham Braddell Artemis Investment Management
Matthew Barr BNY Mellon	Gemma Bhandal HSBC	Emma Bradshaw SG Hambros Bank
John Barrowman ACSI Baillie Gifford	Sunil Bhardwaj State Street Syntel Services	Colm Brady BNY Mellon
Eva Bartak BNY Mellon	Atul Bhatkar State Street Syntel Services	Thomas Bramhill Standard Life
Kay Barton Brewin Dolphin	Hanish Bhatt Goldman Sachs	Michael Breeden
Kamti-Jehovah Basil CSS Partners	Daniel Biles Portigon	Benjamin Bright, Chartered FCSI TD Direct Investing
Natalie Basinska Business Control Solutions	Ahmad Faris Bin Ahmad Kabeer ACSI Aberdeen Asset Management	Naomi Britten Investec
Isobel Basson ACSI Thesis Asset Management	Stuart Birch ACSI Thesis Asset Management	Joanna Brooks Baillie Gifford
John Bates BNY Mellon	Kevin Birch, Chartered MCSI Walker Crips	Taylor Broom J.P. Morgan
Lisa Baxter Cofunds	Jack Biss	Toni Brosnan ACSI Mitsubishi UFJ Trust
Joseph Baxter Host Capital	Jamie Bisson ACSI	Sarah Brouder Babson Capital Europe
Ed Baynton-Williams ACSI Thesis Asset Management	Thomas Bloodworth ACSI Tilney Bestinvest	Scott Brown Baillie Gifford
Olivia Beckford	Angus Bloomfield Schroders	Tatiana Brown Baillie Gifford
Arran Bedda CQS	Aine Board BNY Mellon	Rosanna Brown ACSI Charles Stanley & Co
Joanna Bedford ACSI Brewin Dolphin	Guy Boardman	Andrew Brown Smith & Williamson
Dhiraj Bedi MCSI Henderson Global Investors	Neil Bohane BNY Mellon	Catherine Broxton BNY Mellon
Lauren Beech	Rachel Bolt Capita	Jonathan Brusell Integrated Financial Arrangements
Zina Belhouchi ACSI Silicon Valley Bank	Deniss Boltunovs Capita	Benjamin Bryans ACSI
Karen Bell Baillie Gifford	Mark Bonner UBS	Robert Bucher HSBC
Martin Bell Morgan Stanley	Natalie Boom Julius Baer	Rachel Burns International Financial Data Services
Natalie Bellchamber Fidelity	Malte Borkenhagen Deloitte MCS	James Burton Deloitte
Chaima Ben Messaoud Fidelity	Melissa Boscarino	Hayley Burton T Bailey Asset Management
Sara Bentley	Jade Bourne Barclays	Emily Butcher Fidelity
Thomas Bevan ACSI City Asset Management	Alison Bovill Ruffer	

# Awards Ceremony 2016 Achievers

James Butler, Chartered MCSI  
Quilter Cheviot

Helen Byers  
BNP Paribas

Samuel Cadamy ACSI  
Tilney Bestinvest

Stephanie Cadger  
HSBC

David Cahill  
BNY Mellon

Emma Calcutt  
Quilter Cheviot

Joanne Calder  
deVere

Christopher Caldwell  
Fidelity

Stuart Cale

Timothy Callaway  
Fidelity

Natalie Callinan  
Goldman Sachs

Christine Calver  
International Financial Data Services

Ben Camis ACSI  
Raymond James Investment Services

Caitlin Campbell  
Fidelity

Claire Campbell ACSI  
LCM Wealth Management

Juan Jose Campero Krysko ACSI  
Charles River Development

Amy Canning  
Royal Bank of Scotland

Dominic Cantillon  
BNY Mellon

Juan Carbonell Fuente  
GFT IT Consulting

Simon Cardy  
International Financial Data Services

Darren Carlier ACSI  
BNP Paribas

Victoria Carr  
Aberdeen Asset Management

Amber-Rose Carridge ACSI  
Nedbank Private Wealth

Lee Carstensen  
Legal & General

Stephen Carter

Simon Cartledge MCSI  
Schroders

Samuel Chalk  
Fidelity

Amit Kumar Chandak ACSI  
HSBC

Shradha Chapekar  
Capita

Raju Chaurasia  
State Street Syntel Services

Chun-Yi Chen  
International Financial Data Services

Denis Chesnokov ACSI  
Deutsche Bank

Ho Wing Cheung  
Hargreaves Lansdown

David Chong

Alexander Chow  
BNY Mellon

Jessica Ciavucco  
Goldman Sachs

Klaudiusz Ciesielski  
HSBC

Malgorzata Ciura  
BNY Mellon

Stuart Clapson  
HSBC

Robert Clark  
HSBC

Anna Clausen  
AW Wealth Management

Sue Clements  
International Financial Data Services

Isabel Coady  
BNY Mellon

Amy Coburn  
Investec

Neil Cockburn  
BNY Mellon

Martin Cohen  
Citibank

Thomas Cole  
International Financial Data Services

Lauren Colfer  
BNY Mellon

Peter Collier ACSI  
C. Hoare & Co

Joseph Collins  
BNY Mellon

Francesco Coluccini ACSI  
Ion Asset Architecture UK

Laura Compton  
HSBC

Joseph Connolly  
Equiniti

Sarah-Jane Connolly  
Financial Ombudsman Service

Pauline Cook  
The Share Centre

Thomas Cooley  
HSBC

Rebecca Cooper ACSI  
Marlborough Fund Managers

Maria Corazon Cootauco ACSI  
HSBC

Cassandra Cope  
ED&F Man

Linda Copeland  
BNY Mellon

Damian Corley ACSI  
Santander

Emma-Jean Costine  
BNY Mellon

Gary Couch  
Equiniti

Elaine Couchman  
Capita

Mark Cowman  
BNY Mellon

Andrew Cox  
Capita

Russell Cox  
M&G

Nikki Craft  
HSBC

Adam Cran

Michael Cribbin  
Business Control Solutions

Gabriele Crisci ACSI  
Swisscanto Funds Centre

Annabel Crisp  
J.P. Morgan

# Awards Ceremony 2016 Achievers

Alison Cross ACSI  
Invesco Perpetual

Daniel Cross  
International Financial Data Services

Deborah Crowley  
Pershing

Michael Crundwell  
Norwich Union

Glenn Cryer  
Engage Mutual Assurance

Anthony Cumiskey  
Ruffer

Gail Cunningham  
Baillie Gifford

Laurence Cuss  
Business Control Solutions

Adam Cuthbertson ACSI  
BDO

Jean-Philippe Da Costa  
Societe Generale

Lewis Dalgarno  
State Street

Preetika Damani  
Capita

Vikesh Darji  
Morgan Stanley

Agomoni Das  
HSBC

Parikshit Das  
Capita

Rajesh Das  
State Street Syntel Services

Subhankar Das  
HSBC

Lauren Davies  
Northern Trust Company

Thomas Davies  
Baillie Gifford

Jonathan Davis  
Shire Wilshire Lewis Capital Markets

Michael Davis ACSI  
BNP Paribas

Nicholas Davis  
Fidelity

Sarah Davis  
Fidelity

Simon Dawes  
Societe Generale

Karen Day  
Baring Asset Management

Madeleine Day ACSI

Simon De La Haye ACSI  
ACPI

Mary De Maine Beaumont  
Brewin Dolphin

Bruno De Poerck, Chartered MCSI  
Brevan Howard Capital Management

Robin Delaney  
Kuwait Investment Office

Stjohn Dennehy  
BNY Mellon

Esther Yolanda Robyn Denney

Gajanan Desai  
DBOI Global Services

Eoin Devlin  
Canada Life

Sharon Dibb  
Capita

Richard Dignan  
BNY Mellon

Nina Dixon  
Northern Trust Company

Robert Dobberson

Timothy Dobson  
Societe Generale

Rachael Docherty ACSI  
James Brearley & Sons

Adam Dodson  
International Financial Data Services

Samuel Doel  
Legal & General

Mark Donaldson  
BlackRock

Liam Donegan  
Capita

Alex Donnet  
Morgan Stanley

Claire Doris  
BNY Mellon

Jai Doshi  
HSBC

Charles Downes ACSI  
The Share Centre

Andrew Doyle ACSI  
Rathbones

David Doyle ACSI  
Rathbones

Peter Drake  
Brewin Dolphin

Valeria Dubinskaya  
J.P. Morgan

Kelly Dudley MCSI  
Rathbones

Martin Dunne

Fraser Dutch  
Aberdeen Asset Management

Alexander Duthie  
BNY Mellon

Curtis Dutton  
HSBC

David Dwyer, Chartered MCSI  
PricewaterhouseCoopers

Dan Dye ACSI

Robert Eaglesham  
Invesco Perpetual

Jonathan Easingwood  
OneFamily

Michael Easton  
Castle Trust

Craig Edmeades ACSI  
C. Hoare & Co

Luke Egginton-Groves  
Business Control Solutions

Shannon Elia  
Sarasin & Partners

Christopher Elliott  
Business Control Solutions

Ciara Elliott  
Close Brothers

Joseph Elliott  
City Financial Investment Company

Julian Ellis, Chartered FCSI  
Financial Services Regulation

Stephen Elson  
Fidelity

Craig Elsworth  
Church House Investments

# Awards Ceremony 2016 Achievers

Richard Esingle State Street	Jason Fletcher Rathbones	Sarah Gibbs Target Loan Servicing
Jacqueline Evans ACSI Ashcourt Rowan	Gary Florence HSBC	Alex Gibson International Financial Data Services
Maria Evstropova	Rick Fogarty	Judith Gil Newton Investment Management
Lynsey Ewing ACSI Smith & Williamson	Iain Forbes HSBC	Stuart Gilbert International Financial Data Services
Ihuaku Geraldine Ahu Ezeh ACSI Legg Mason	James Forbes Fidelity	Andrew Gilmour Hargreaves Lansdown
Hanzel Renee Fadrihan ACSI Baillie Gifford	Ronald Ford Euroclear	Michaela Girvan ACSI Charles Stanley & Co
Heather Fairgrieve Charles Stanley & Co	Lucas Foreman ACSI Royal Bank of Canada	Emma Goddard
David Fairweather UBS	Lisa Fox Fidelity	Marta Godula Deutsche Bank
Patricia Fajkowska BNY Mellon	Clare Fraser BNY Mellon	James Goksaran Royal Bank of Scotland
Kieren Fay J.P. Morgan	Hayley Fraser BlackRock	Aileen Goldsmith Financial Conduct Authority
Joanna Fellingham Thesis Asset Management	Amie Freeman Lloyds Banking Group	Anthony Goldstone ACSI Rathbones
Alastair Ferguson Baillie Gifford	Chris French-Leith	Katie Goldstone HSBC
Manuel Fernandes BNY Mellon	Yvonne Frew Rabobank	Maria Gomez Canovas Grant Thornton
Rupa Fernandes Old Mutual Wealth	Naresh Gaganaboina State Street Syntel Services	Gonzalo Gonzalez Business Control Solutions
Suresh Fernando ACSI Newedge Group	Luisa Gailor J.P. Morgan	Suzanne Goode ACSI Brewin Dolphin
Arthur Ferreira Morgan Stanley	Sophia Gainsburgh M&G	Christopher Goodwin Fidelity
Yvette Fitzhenry Pershing	Ana Gajda HSBC	Marc Gordon MCSI HSBC
Rupert Morgan Fitzhugh ACSI Brooks Macdonald Asset Management	Prashant Gala BNY Mellon	Richard Gosling BNY Mellon
Mark Flavin Financial Ombudsman Service	Darren Gallant CCLA	Matthew Goule Deutsche Bank
Richard Fleming BNY Mellon	Samantha Gallon Brewin Dolphin	Andrew Graham ACSI IBM
Rory Fleming ACSI C. Hoare & Co	Matthew Galloway	Rachel Graham A J Bell
Laura Fleming Investec	Laura Galluzzi ACSI Royal Bank of Canada	Catherine Grant ACSI Rathbones
Shaun Fleming UBS	Alexandra Gamboa Dwerryhouse ACSI New Amsterdam Capital Management	Christopher Gray Fidelity
Henry Fletcher Integrated Financial Arrangements	Paul Garner	James Gray HSBC

# Awards Ceremony 2016 Achievers

Matthew Greely  
Hargreaves Lansdown

Andrew Green  
Fusion Wealth

Lawrence Green  
Cofunds

Rebecca Greenaway  
Premier Funds

Alastair Greenhough  
BNY Mellon

Hugh Greenwood  
Old Mutual Wealth

Daniel Gregory

Tomasz Greiner  
BNY Mellon

Iqbal Grewal  
Equiniti

John Griffiths  
BNY Mellon

Lauren Griggs

Katie Grogan  
Morgan Stanley

Kevan Groves  
International Financial Data Services

Danielle Guidice  
Hargreaves Lansdown

Jamie Hale  
AXA

Angela Hall  
Aviva

Stephen Hall

Daniel Halliday

Ellen Hammersley  
Cofunds

Mark Hammond ACSI  
J Rothschild Capital Management

Rachel Hammond  
Euroclear

Donna Hankins  
Capita

Sarah Louise Hanlon  
Smith & Williamson

Gail Hannah ACSI  
Brewin Dolphin

Haruna Harazawa  
Nomura

Elizabeth Hard  
Nomura

Daniel Harper  
Integrated Financial Arrangements

Alan Harrington  
BNY Mellon

Sean Harris  
Societe Generale

Gail Harrison  
WH Ireland

David Harvey  
Capita

Laura Harvey  
J O Hambro Capital Management

David Haugh  
Capita

Amy Haw  
Liverpool Victoria

Matthew Hawkes  
Portigon

Mark Hayden  
Barclays

Kathryn Hayes  
Northern Trust Company

Robert Haywood  
Business Control Solutions

John Heaney ACSI  
Smith & Williamson

James Heather, Chartered MCSI  
BlackRock

Jack Hecquet  
UBS

Daniel Hedley  
Brewin Dolphin

Benjamin Heffer ACSI  
J.P. Morgan

Lewis Henderson  
Morgan Stanley

Matthew Henderson  
Fidelity

Matt Hennessy  
Integrated Financial Arrangements

Dominic Henry

Craig Hepden  
Deutsche Bank

Ana Herrero Wallace

Daniel Heyns

Stephen Hibbs

Sophie Higenyi  
Morgan Stanley

James Higginbotham  
Mercer Human Resource Consulting

Dorota Higgins  
Capita

Jack Hill  
UBS

Alastair Macgregor Hind

John Hinge MCSI

Sandra Hislop

Antoinette Hitchens ACSI  
C. Hoare & Co

Lorna Hitchin ACSI  
Investec

Wai-Yee Ho  
Aberdeen Asset Management

Sek Horng Ho

Alex Hobbs  
UBS

Benjamin Hodges ACSI  
Brewin Dolphin

Danielle Hogg ACSI  
Virgin Money

Sam Holland  
Waverton Investment Management

Darren Hollett  
Fidelity

Andrew Holt ACSI  
James Brearley & Sons

Rosanna Holt  
Pelham Smithers Associates

Graeme Honeyman  
Morgan Stanley

Mark Hopkins  
BNY Mellon

Jill Horgan  
BNY Mellon

Catherine Horne  
J Edward Sellars

Rob Horning  
HSBC

Adam Horsfall  
UBS



# Awards Ceremony 2016 Achievers

Andrew Houghton

John-Paul Hounslea  
BNY Mellon

Thomas House  
HSBC

Leanne Howard  
Integrated Financial Arrangements

Thomas Howell  
Business Control Solutions

Sally Hoyle ACSI  
Marlborough Fund Managers

Jinyuan Huang  
Barclays

Pu Huang  
Goldman Sachs

Liz Hughes  
BNY Mellon

Benjamin Hughes  
Fidelity

Emma Hughes  
Rathbones

Nigel Hulse  
BNY Mellon

Alan Hunt ACSI  
Pershing

Emma Hunt  
BNY Mellon

Thomas Huygens  
BNY Mellon

Tan Ip  
BNY Mellon

Ewan Ironside ACSI  
JM Finn & Co

Elizabeth Irvine  
Barclays

Laura Irvine  
Citibank

Victoria Irvine  
University of Ulster

Benjamin Jackson  
Aviva

Jennifer Jackson  
International Financial Data Services

Thomas James  
ICAP

Philip Jarvis ACSI  
Blankstone Sington

Vaibhav Jauhari  
Fidelity

Aaron Jean-Baptiste  
Old Mutual Global Investors

Matthew Jean-Baptitse

Joanna Jelic  
BNY Mellon

Lewis Jeng ACSI  
Redmayne Bentley

Cielen Jeremy

Karina Jerie  
Teachers Assurance Company

Samrat Jha  
J.P. Morgan

Samantha John  
Reyker Securities

Christine Johnson  
Brewin Dolphin

Lucy Johnson  
Barclays

Paul Anthony Johnson  
BNY Mellon

Sara Johnson  
State Street

David Johnstone

Paul Johnstone  
Morgan Stanley

Parbjeet Jolly  
HSBC

Robert Jones ACSI  
BNP Paribas

Martin Jones  
Capita

Supreeth Joshi  
Royal Bank of Scotland

Rakesh Jugal

Anna Kalinowska  
OneFamily

Amendeep Kalirai

Mustafa Kanchwala  
Deloitte

Inderpal Kang  
Margetts Fund Management

Claudia Kari  
Aberdeen Asset Management

Shankar Karunanidhi  
State Street Syntel Services

Matthew Katchay  
Financial Ombudsman Service

Maria Kavanagh ACSI  
Pershing

Rebecca Kay ACSI  
Marlborough Fund Managers

Emma Kaye ACSI  
Nedbank Private Wealth

Benjamin Kelly ACSI  
Thesis Asset Management

Greig Kelly  
HSBC

Jacqueline Kelly  
Legal & General

Laura Kelly  
BNY Mellon

Brendan Kenny ACSI  
Pershing

Andrew Kenyon  
Aviva

Jaina Keshav  
State Street

Rashmi Khasnis  
BNY Mellon

Navdeep Khera ACSI  
Capita

Luke Kilburn ACSI  
Redmayne Bentley

Lynn Kilmartin  
HSBC

Sarah King  
Investment Funds Direct

Luther Kinghorn  
A J Bell

James King-Patarou  
Ingenious Asset Management

Liam Kingsmill  
A J Bell

Amy Kirby ACSI  
Rathbones

Ashlie Kitching ACSI  
J.P. Morgan

Jamie Kitching  
BlackRock

# Awards Ceremony 2016 Achievers

Anton Klaassen  
Old Mutual Wealth

Michael Knight ACSI  
Towry

Kate Knight-Robson

Elaine Knott  
JHC Systems

Alex Kolossy  
Baillie Gifford

Martynas Kompaneicevas  
Morgan Stanley

Krisztina Koncz  
Legal & General

Lulu Kone  
Northern Trust Company

Wan Man Kong  
Franklin Templeton Investments

Natalia Kopczynska  
Financial Ombudsman Service

Aikaterini Kossaridou ACSI  
Julius Baer

Justyna Kowalik  
BNY Mellon

Daniel Kratka MCSI  
Triland Metals

Malgorzata Krec  
Ascentric

Ranjeet Kumar Behra  
Capita

Karolina Kurg

Suran Kurukulasuriya

Umesha Kurukulasuriya Sawalakkara  
ACSI  
HSBC

Walter Tendai Kusemwa  
HSBC

Conny Kusters  
HSBC

Simona Kuzmanova  
Capita

Alison Kyle ACSI  
Axa Isle of Man

Stephen Lacey, Chartered MCSI  
BACB

Vimal Ladwa  
J.P. Morgan

Elaine Yin Ling Lai  
UBS

Darren Lalaram  
UBS

Mandy Lam  
HSBC

Paul Lambert  
Capita

Tania Lambert ACSI  
Investec

Christopher Lambourne  
BNY Mellon

Brendan Lane  
Capita

Claire Langford  
Capita

Joey Langran  
Fidelity

Marsha La-Pierre, Chartered MCSI  
Shell

Gordon Larson ACSI  
BNP Paribas

Lija Lascenko  
Deutsche Bank

Stephen Last  
Killik & Co

Oliver Latimer  
Nomura

Oluwapelumi Lawal

Shane Lawlor  
BNY Mellon

Stefanie Lawlor  
Pershing

Nathaniel Lawrence  
Fidelity

Olayinka Lawson  
Nomura

Xuan Le

Alexander Le Chevalier ACSI  
Royal Bank of Canada

Renee Le Gendre-Hanna  
International Financial Data Services

Christopher Leaton  
JP Morgan Chase & Co

Alex Lee  
HSBC

Alice Lee  
Baillie Gifford

Victoria Lee ACSI  
Marlborough Fund Managers

Georgia Leighburn-Cook  
City Asset Management

Jekaterina Leonenko  
Morgan Stanley

Kevin Leopando

Michal Leszczynski  
BNY Mellon

Daniel Lewington  
BNY Mellon

George Lewis ACSI  
Computershare Investor Services

Terry Li  
OneFamily

Karen Light, Chartered MCSI  
Santander

Daniel Lillie  
Investec

Simon Lillywhite  
C. Hoare & Co

Eu-Loong Lim

Fiona Limond  
BNP Paribas

Viktorija Linkeviciute  
Royal Bank of Scotland

Xiangning Liu  
HSBC

Olivia Lo  
Citibank

Jeffrey Logan  
Kames Capital

Thomas Lonergan  
Integrated Financial Arrangements

Paul Long  
Brewin Dolphin

Margaret Longden

Matthew Longland  
Financial Ombudsman Service

Ilani Loomes-Liebenberg  
Fusion Wealth

Orla Louden  
Citibank

Simon Loughran ACSI

# Awards Ceremony 2016 Achievers

Kerri Love  
Morgan Stanley

Daniel Lowry  
Royal Bank of Scotland

Zoe Lu  
Northern Trust Company

Alex Lui ACSI

Rachel Luke  
Baillie Gifford

George Luker ACSI  
J.P. Morgan

Peter Ly  
UBS

Kerri Lynch  
BlueBay Asset Management

Matthew Lythgoe ACSI  
Integrated Financial Arrangements

Dzikamai-Charles Mabvaro  
Schroders

Samuel MacEy  
HSBC

Kevin MacInnes  
State Street

Natalie MacIver  
Barclays

Sam MacKenzie ACSI  
Smith & Williamson

Euan MacNeish  
Aberdeen Asset Management

Karun Madhas ACSI

Ngahua Maginness  
BNY Mellon

Bhawesh Kumar Mahto  
HSBC

Mark Mailing  
Ruffer

Chris Mair

Caron Marley  
Pershing

Hannah Marnell  
Pershing

Ian Daniel Marriott ACSI  
Attivo Investment Management

Lauren Marsh  
Halifax Sharedealing

Lee Marsh  
M&G

Iain Marshall ACSI  
BNP Paribas

Pauline Marshall ACSI  
BNP Paribas

Crystal Martin  
Fidelity

Robert Martin ACSI  
Saul Trustee Company

Kamila Martinkova ACSI  
Attivo Investment Management

Dovile Masiulyte  
Capita

Charlotte Mason  
HSBC

Jessica Mason  
J.P. Morgan

Ravinder Matharu  
LCH.Clearnet

Ross Mather  
Royal Bank of Scotland

Maciej Matusiak  
Barclays

Johanne Maurice ACSI  
Killik & Co

Colin Maxwell  
Morgan Stanley

Lauren May ACSI  
Thesis Asset Management

Annie Mbako  
BNY Mellon

Colm Mc Manus  
BNY Mellon

Alice McAlinden  
BNY Mellon

Tessa McArdle  
J.P. Morgan

Craig Mccafferty  
HSBC

Lesley McColl  
Smith & Williamson

Phillip McCreight  
Jupiter Asset Management

Jill McCurdie  
Morgan Stanley

Colin McDade

Alexander McDermott  
BNY Mellon

Gary McDevitt  
BNY Mellon

James McDonald  
Capita

James McEneaney  
Cofunds

Kalleigh McFarlane ACSI  
Rathbones

Tracey McGroarty  
Smith & Williamson

Karen McIlwee  
Citigroup

Nicola McInnes  
Morgan Stanley

Philip McKee ACSI  
Deutsche Bank

Victoria McKendrick-Ness  
Sarasin & Partners

Jake McKenna  
J.P. Morgan

Angus McLean  
Baillie Gifford

Nathan McLean  
Charles Stanley & Co

James McMahan  
BNY Mellon

Jade Mcmanus ACSI  
Marlborough Fund Managers

Iain Mcmillan  
Kiltearn Partners

Stephen McNaughton  
Barclays

Alison McRobbie  
BNP Paribas

Sarah Meakes MCSI  
Sand Aire

Mitsu Mehta ACSI  
BNP Paribas

Neil Mendum

Shailesh Menon  
State Street Syntel Services

Anna Menzies ACSI  
Brewin Dolphin

Varsha Mhaske  
BNY Mellon

# Awards Ceremony 2016 Achievers

Amir Miah Old Mutual Wealth	Chloe Moss EFG Harris Allday	Edward O'Bree Bovill
Raquel Miguel Guinart Citibank	Robert Moss International Financial Data Services	Se O'Connell BNY Mellon
Glen Milburn M&G	Charles Moss SG Hambros Bank	Tony O'Connell BNY Mellon
Samuel Miller Fidelity	Megan Mulholland J.P. Morgan	Rebecca Oddy MCSI Investec
Stephen David Allan Miller Royal Bank of Scotland	Mairead Mulvey BNY Mellon	Alex O'Driscoll Capita
Kirsten Miller	Teresa Murphy Capita	Claire O'Dwyer International Financial Data Services
William Miller	Nicholas Murphy Hargreaves Lansdown	Rory O'Flaherty BNY Mellon
John Milne ACSI Aberdeen Asset Management	William Murphy International Financial Data Services	Uche Ojukwu
Dimuthu Mirisearchchi Bank of America	Rachael Murray ACSI Impax Asset Management	Frank Okafor Morgan Stanley
Nathan Mistry Royal Bank of Scotland	Dean Myatt	Samantha O'Keefe International Financial Data Services
Prafull Mittal Goldman Sachs	Andrew Myers Morgan Stanley	Elouise Ollivier ACSI Royal Bank of Canada
Joseph Martin Moloney GAM	Vikas Nair ACSI Morgan Stanley	Marcus Ollivier
Stephen Monkman Brewin Dolphin	Sajitha Nair Govindankutty	Mairead O'Mahoney BNY Mellon
Ryan Moran Fidelity	Navin Narayanan ACSI HSBC	Finlay O'Neill Baillie Gifford
Lyndsey Morgan USS	Arun Narayanan	Paul O'Neill BNY Mellon
Steven Morris BNY Mellon	Michael Newing Invesco Perpetual	Okechukwu Onwudiwe MCSI
Hannah Morris M&G	Debbie Nicolls Fidelity	Gillian Orr Pershing
Patricia Morris-Helm ACSI Hargreave Hale	Elena Nicolson Citibank	Osadebamwen Osaretin Morgan Stanley
Paul Morrison Equiniti	Sally Nixon Morgan Stanley	Mustafa Osman Northern Trust Company
Niall Morrissey	Pauline Nolan ACSI Brewin Dolphin	John Overy Macquarie Capital Europe
Gareth Moses Financial Ombudsman Service	Sarah Norris ACSI Rathbones	William Palmer MCSI Blankstone Sington
Saina Moshfegh Reza BNY Mellon	Ian North UBS	Victoria Panrucker Quilter Cheviot
Alicia Mosley Capita	Kristofer Nuttall ACSI Marlborough Fund Managers	Lucinda Parker
George Moss Deloitte	Klaudyna Nycz Franklin Templeton Investments	Hitesh Parmar Business Control Solutions
		Jessica Parrish ACSI Charles Stanley & Co

# Awards Ceremony 2016 Achievers

**Bhumi Patel**  
Goldman Sachs

**Naiya Patel**  
Invesco Perpetual

**Suraj Anil Patel**  
Spread Co

**Graeme Charles Paterson ACSI**  
BNP Paribas

**Charles Payne**  
Thesis Asset Management

**James Pearce ACSI**  
Killik & Co

**Sally Pedder ACSI**  
Rathbones

**Richard Peden, Chartered MCSI**

**Catriona Pennet**  
Aberdeen Asset Management

**Samantha Peppiatt ACSI**  
Brown Brothers Harriman Investor  
Services

**Melissa Percy**  
J.P. Morgan

**Dilshanthe Perera ACSI**  
HSBC

**Mahendran Perumal**  
State Street Syntel Services

**Kelly Peters**  
Deloitte

**Benjamin Peters**  
M&G

**Andrew Petrie**  
Fidelity

**Sean Phillips**  
Deutsche Bank

**John Philpot**  
State Street

**Filippo Piedi**  
Deloitte

**Simon Pinnington ACSI**

**Edwin Pinto**  
Capita

**Rosamund Piper**  
Morgan Stanley

**Rebecca Pitts ACSI**  
SEI Investments

**Minoli Piyasena**  
Royal Bank of Scotland

**Sophie Pluck**  
Business Control Solutions

**Joe Points**

**Jamie Pont**  
BNY Mellon

**Ross Pooley**  
HSBC

**Daniel Potter**  
CSS Partners

**Katherine Powell**  
Fidelity

**Naomi Powell**  
Hargreaves Lansdown

**Natasha Powell**  
International Financial Data Services

**Emma Powell ACSI**  
Rathbones

**Richard Powis ACSI**  
Brown Shipley

**Ashleigh Powles**  
Old Mutual Wealth

**Viraj Prabhu**  
HSBC

**Francesca Preocanin**  
Integrated Financial Arrangements

**Jane Price**  
Investec

**Helen Price**  
TD Direct Investing

**Timothy Prideaux**  
A J Bell

**Liam Pritchard**  
Aberdeen Asset Management

**Jatinder Puri**

**Barry Quigg**  
BNY Mellon

**Joseph Quinlan ACSI**  
Standard Chartered Bank

**Philip Quinn**  
BNY Mellon

**Indre Rackauskaite**  
HSBC

**Lesley Rae**  
HSBC

**Vera Rahova**  
Royal Bank of Scotland

**Lewis Railton**  
Waverton Investment Management

**Michaela Raj MCSI**  
HSBC

**Chandni Rajput**  
Mercer Investment Consulting

**Aileen Ramage**  
Standard Life

**Michael Ramkin**  
BACB

**Rachel Ramsey**  
AXA

**Alec Ramsey MCSI**  
Origen Financial Services

**David Randles**  
Aberdeen Asset Management

**Jordan Rankin**  
Baillie Gifford

**Nicholas Rastall ACSI**  
J.P. Morgan

**Chelsey Rawlings ACSI**  
Close Asset Management

**Thomas Rawlins**

**Simon Reed ACSI**  
ING

**Jonathan Rees**  
Legal & General

**Bobby Reeve**  
Capita

**Trevor Reeves**  
Integrated Financial Arrangements

**Adrian Regan**  
BNY Mellon

**Rajpal Rehal**  
EFG Harris Allday

**Alice Reid**  
IM Asset Management

**Stefan Reinke**  
BNY Mellon

**Marc Richards ACSI**  
Charles Stanley & Co

**Max Richards**  
Hargreaves Lansdown

**Paul Richardson**  
Baillie Gifford

**Joshua Richardson ACSI**  
Royal Bank of Canada

# Awards Ceremony 2016 Achievers

Hayley Riches  
Baring Asset Management

Charlotte Ridley  
Impax Asset Management

Linda Roberts MCSI  
The Share Centre

Samuel Robinson  
Fidelity

Victoria Robinson  
Slater Investments

Elis Roderick  
BNY Mellon

Sharon Rose  
Aberdeen Asset Management

Michael Ross  
Standard Life

Catriona Rowantree  
Morgan Stanley

Emma Rowe  
ING

Harriet Roy  
M&G

Austin Ruane  
Deutsche Bank

Gillian Russell

Abby Rutherford  
UBS

Szabolcs Ruzsa  
HSBC

Charlotte Ryan  
Morgan Stanley

Catherine Rylah  
Capita

Magdalena Rys  
BNY Mellon

Philippa Sadler  
Invesco Perpetual

Sanjeet Kaur Sagu  
Capita

Zaheer Abbas Rizvi Saiyed  
State Street Syntel Services

Hassan Sajid  
UBS

Amit Kumar Sakunia

Shany Salem  
Royal Bank of Scotland

Gurvinder Sambhi

Randika Sameera ACSI  
HSBC

Alice Samuel  
HSBC

Adam Sandercock  
Invesco Perpetual

Jamie Sandhu  
HSBC

Preethi Sankaran ACSI  
Morgan Stanley

Carina Santos ACSI  
Jersey Financial Services Commission

Ewelina Saran  
Legal & General

Subhodeep Sarkar  
Fidelity

Jack Satt

Toby Saunders ACSI  
JM Finn & Co

Magda Savini

Paul Sayers  
JP Morgan Chase & Co

Salman Sayyed

Philip Scott  
Baillie Gifford

Pippa Scott  
AXA Isle of Man

Ryan Scott  
HSBC

Stacey Seaborne ACSI  
Charles Stanley & Co

Harry Seal  
Barclays

Keith Seeman

Stephen Selby

Hemma Shah ACSI  
HSBC

Cal Shannon  
Invesco Perpetual

Mohammed Zaid Sharif  
HSBC

Jayesh Sharma  
Legal & General

David Sharratt  
Waverton Investment Management

Rachel Shaw  
International Financial Data Services

Victoria Shaw  
International Financial Data Services

Olivia Shaw  
Mitsubishi UFJ Trust

Hannah Shaw  
T Bailey Asset Management

Laurence Shelsher  
Waverton Investment Management

Wuyi Shen  
HSBC

Vinith Shetty  
State Street Syntel Services

Grant Shimmin  
International Financial Data Services

Craig Shores ACSI  
Euroclear

Jayne Short  
International Financial Data Services

John Shortall  
Walter Scott

Natalia Shurova  
BCS Prime Brokerage

Maria-Elena Siddiqui  
Morgan Stanley

Rejeana Simbulan ACSI  
HSBC

Kerry Singleton  
International Financial Data Services

Ragene Sithambaranathan  
Integrated Financial Arrangements

Prasath Sivanathan ACSI  
HSBC

Leanne Skeats  
HSBC

Joel Slade ACSI  
BNP Paribas

Mitchell Slape  
Hargreaves Lansdown

Karen Smith  
Aberdeen Asset Management

Rosaleen Smith  
BNP Paribas

# Awards Ceremony 2016 Achievers

Elliot Smith Capita	Joe Stewart J.P. Morgan	Paul Ten Deutsche Bank
Christopher Smith Hargreaves Lansdown	Kelly Stocker A J Bell	Samit Thakur Capita
Richard Smith International Financial Data Services	Kathryn Stonebank Redburn Partners	Virendra Thakur State Street Syntel Services
Clayton Smith Jarvis Investment Management	Melanie Stravens ACSI AAA International Services	Vinod Thavani BNY Mellon
Bernard Smith NatWest	David Stuart	Donna Theobald ACSI BNY Mellon
Toby Smith Praemium	Arun Sundar Equiniti	Jamie Thomas Fidelity
Colm Smith University of Ulster	Kerry Sunter ACSI Pershing	Jessica Thomas Fidelity
Gordon Snedden Morgan Stanley	Neil Surtees BNY Mellon	Huw Thomas ACSI Nemo Personal Finance
Jessica Sofroniou ACSI Quilter Cheviot	Henry Swales ACSI	James Charles Thomas Nucleus Financial
Sam Sollitt Aviva	Curtis Swallow Financial Conduct Authority	Rebecca Thea Thompson Redmayne Bentley
Shaam Soni Deloitte	Saket Swarnkar State Street Syntel Services	Martin Thomson Barclays
Divya Soni J.P. Morgan	Sandra Syme BNY Mellon	Richard Thomson BNY Mellon
Israel Sotonwa Goldman Sachs	Aleksandra Tabaczynska BNY Mellon	Jennifer Thorp Newton Investment Management
Lawrence Southern Morgan Stanley	Andrew Taberham, Chartered MCSI Ernst & Young	Laura Thurston-Garry Marlborough Fund Managers
Betty Spence HSBC	Stephen Taggart ACSI Rathbones	Thomas Tillett
Tara Spencer Fidelity	Maria Tamarkina BCS Prime Brokerage	Reka Todor BNY Mellon
John Springett J.P. Morgan	Shubhangi Tangadi Capita	Hannah Togneri HSBC
Siddharth Sriwastav	James Tanqueray Majedie Asset Management	Ryan Tomlin Societe Generale
Jennifer Stanley Invesco Perpetual	Tonderai Taringa J.P. Morgan	Ming-Yang Tong BNY Mellon
Sasha Stanley-Gausson UBS	John Tawdrous Baillie Gifford	Sarah Toogood Fidelity
Mariola Stelmachowska State Street	Stephanie Taylor Barclays	Ryan Toovey International Financial Data Services
Dafydd Stephens Societe Generale	Mark Taylor Fidelity	Pam Toulson Aviva
Laura Stevenson BNY Mellon	Nima Tehrani Morgan Stanley	Ruth Tracey Bank of England
Lee Stevenson ACSI Rathbones	Iain Templeton Brewin Dolphin	Matthew Trott

# Awards Ceremony 2016 Achievers

Graham Turner  
Legal & General

Callum Turner ACSI

Emily Tutton  
Baillie Gifford

David Tweed  
Hargreaves Lansdown

Jana Uehlecke  
Portigon

Mohit Upadhya  
HSBC

Shramta Uppal  
Fidelity

Hina Vaghela  
BACB

Kalyani Vaishampayan  
HSBC

Rutgerus Franciscus Van Lammeren  
ACSI

Martin Van Zyl  
Psigma Investment Management

Jack Varty  
Legal & General

Justin Vernon  
UBS

David Vesey  
HSBC

Tomislav Vidovic

Neha Vijayvargiya  
Capita

Thomas Vince  
Waverton Investment Management

Jean-David Vincent  
Source

Roletta Vui Purtill  
Barclays

Christopher Wade

David Wahi  
Santander

Christopher Walker  
Citigroup

Jennifer Walker  
Fidelity

Robert Wall  
Morgan Stanley

Robert Wallbank  
J.P. Morgan

Joshua Lloyd Walters

Qian Wang ACSI  
Charles Stanley & Co

Lucy Warby  
The Investment Association

Amy Wardell  
Capita

Hamish Warnock  
Raymond James Investment Services

Rachel Warren  
Hargreave Hale

Christina Warrillow  
Citibank

Freya Watson  
International Financial Data Services

Rebecca Watterson  
Canada Life

Daniel Watts ACSI  
BNY Mellon

Tenika Welch  
HSBC

Harry Wellington  
C. Hoare & Co

Sophie Westover  
Integrated Financial Arrangements

Susan Wetherall

Saorla Whelan  
Baillie Gifford

Neil White  
Equiniti

Bruce White  
International Financial Data Services

Jack White  
JHC Systems

Samantha Whitehead ACSI  
Albert E Sharp

Sarah Whitehead  
HSBC

Matthew Whittaker  
Fidelity

George Whittaker ACSI  
Investec

Carl Widger  
BNY Mellon

Joanne Wiklo  
Equiniti

Michael Wilgoss  
J.P. Morgan

David Wilkinson  
BNY Mellon

Katherine Willetts  
Waverton Investment Management

Evan Williams ACSI  
BNP Paribas

Benjamin Williams  
Business Control Solutions

Simon Williams MCSI  
Fidelity

Wendy Williams  
International Financial Data Services

James Williams

Stewart John Wilson  
Baillie Gifford

Ewan Roberts Wilson MCSI  
BNP Paribas

Andrew Wilson  
HSBC

Peter Wilson  
HSBC

Amanda Wilson ACSI  
NFU Mutual & Avon Group

Alice Wilton-Steer  
Barclays

James Wiltshire ACSI  
Investec

Catherine Wilyman ACSI  
SMBC

Monika Wladzinska  
Capita

Julita Wlodkowska  
HSBC

Marta Wojcicka  
Capita

Lucy Wood  
Integrated Financial Arrangements

Daniel Woodrow  
J.P. Morgan

Stephanie Woodward  
HSBC

Benjamin Woollams  
UBS



# Awards Ceremony 2016 Achievers

---

**Elinor Worthington ACSI**  
Legal & General

**Verity Worthington**  
Waverton Investment Management

**David Wrathall ACSI**  
Brewin Dolphin

**James Wright ACSI**  
TD Direct Investing

**Nicholas Wright**

**Fei Xu**  
Morgan Stanley

**Josh Yang**  
FNZ

**Lin Yang**  
Citigroup

**Mark Yeneralski**  
Citibank

**Shilpa Yerphule**  
BNY Mellon

**Cathy Yip ACSI**  
Sumitomo Mitsui Trust

**Felicity Young**  
Legal & General

**Samantha Young ACSI**  
Brewin Dolphin

**Maria Young ACSI**  
Pershing

**Sarfaraz Yousaf**  
Alliance Trust Savings

**Florencia Zamanis**  
J.P. Morgan

**Celina Zang**  
Old Mutual Global Investors

**Svetlana Zarubina**

**Adrianna Zbierska**  
Standard Life

**Samia Zhaibet**  
M&G

# Awards Ceremony 2016 Achievers

## Investment Advice Diploma

Tiffany Aboujaoude ACSI  
UBS

Tarek AbuZayyad  
Stanhope Capital

Timothy Adams MCSI  
Tilney Bestinvest

Mohammad Ahmed

Benjamin Akesson  
Barclays

Edoardo Aleotti  
Tilney Bestinvest

Joanne Alford  
Barclays

Aaiza Ali  
Insight Investment Management

Mark Allan MCSI  
HSBC

Vasco Ambrogi-Fromanger

Amir Anbouche

Oliver Anderson ACSI  
Tilney Bestinvest

Philippa Armitage ACSI

Katherine Ashdown  
Coutts

Neil Ashford  
Standard Advisory London

Tryfon Asimakopoulos ACSI  
EFG Private Bank

Daniel Atkin

Benjamin Attache  
HSBC

Jacobus Kyung Baatenburg De Jong  
Goldman Sachs

Erik Backstrom ACSI  
Fisher Investments

Nikolai Baev ACSI  
SG Hambros Bank

Oliver Baker ACSI  
Barclays

Matthew Baldwin ACSI  
Brown Shipley

Gianluca Balli  
Goldman Sachs

Danielle Bamber ACSI  
Brown Shipley

Harrison Michael Bangs

Remy Baquiche ACSI  
Beaufort Securities

Rodney Barker ACSI  
Credit Suisse

Henry Barnes ACSI  
Brewin Dolphin

Katherine Barnett ACSI  
Seven Investment Management

Andrew Barr  
Raymond James Investment Services

John Barrowman  
Citi

David Bartley ACSI  
Royal Bank of Canada

Anna Bastrakova ACSI  
Citi

Daniel Baxter ACSI  
Brewin Dolphin

Amir Bayram  
Lloyds Banking Group

Thomas Beadel ACSI  
Crossbridge Capital

Peter Beale ACSI  
Investec

Elizabeth Wendy Beddoes  
AFEX Associated Foreign Exchange

Samuel Bedlow, Chartered MCSI  
Coutts

Donald Beggs, Chartered MCSI  
Ramsey Crookall

Joshua Bell ACSI  
Barclays

Thomas Bellon ACSI  
SG Hambros Bank

Laure Benchimol  
BNP Paribas

Simon Bennett ACSI  
Raymond James Investment Services

Geoffrey Bent ACSI  
JNF Capital

Marie Berliet ACSI  
Fisher Investments

Charles Bialaszewski ACSI  
Investec

Simone Bilton ACSI  
Brown Shipley

George Bingham

Lukhvinder Binning

James Bisset ACSI  
Redmayne Bentley

John Henrik Bjork ACSI  
Central Markets

Jasmine Blakemore ACSI  
RBC Wealth Management

Temba Boateng  
J.P. Morgan

James Bolesworth  
Allington Hill Farmhouse

Arturo Bonavita ACSI  
Credit Suisse

Robert Bottomley ACSI  
Citi

Emily Bougeard

Andy Bougourd ACSI  
HSBC

Geoffrey Boullanger  
RMS Fingroup

James Bowen

Cynthia Bowring MCSI  
Sanlam Private Investments

Julius Bozzino  
ifsMalta

Craig Breward ACSI  
Rowan Dartington

Julian Brien ACSI  
First Names

Euan Frederick Briggs ACSI  
Goldman Sachs

Rosanne Briggs  
Barclays

Farouk Brihoum  
Barclays

# Awards Ceremony 2016 Achievers

David Brimer  
Royal Bank of Canada

Lucinda Brooke  
Goldman Sachs

Mark Brown ACSI  
Investec

Samuel Brown  
Adam & Company

Joseph Brunner ACSI  
Killik & Co

Kate Buchanan

Benjamin Buhagiar  
Courtney Havers

Archie Burt ACSI  
Smith & Williamson

Brendan Butler ACSI  
Speirs & Jeffrey

Natalia Bykova ACSI  
Citi

Brian Byrnes  
Coutts

Cyril Caillault ACSI

Caroline Cairo Lopes Mataruco ACSI  
Citi

Ilaria Calabresi  
J.P. Morgan

Dorian Cameron

Blair Campbell ACSI  
MitonOptimal

Alistair Cannings ACSI  
Brewin Dolphin

Matteo Carinelli  
Goldman Sachs

Ian Cartmill ACSI  
Davy

Thomas Carver ACSI  
SVS Securities

Patrick Casey MCSI  
Edwards Securities

Beppe Cassar  
ifsMalta

Ben Castillo-Bernaus ACSI  
Tilney Bestinvest

Marcantonio Catalano Gonzaga di Cirella  
ACSI  
J.P. Morgan

Gautam Chadda ACSI  
Standard Chartered Bank

Ravi Chadda ACSI  
Beaufort Securities

Christopher Chamberlain ACSI  
Vestra Wealth

Sanjay Chandarana  
Royal Bank of Scotland

Amy Chatralia  
Goldman Sachs

Melissa Chatterjee  
Goldman Sachs

Giles Cheney ACSI  
RMS Fingroup

Nicholas Paul Chetwood ACSI  
Farley & Thompson

Vincenzo Chiarello ACSI  
Credit Suisse

Stuart Chilvers  
Brown Shipley

Luca Chioda ACSI  
Credit Suisse

Alexander Chittenden  
Brooks Macdonald Asset Management

Wing Shan Cynthia Cho, Chartered MCSI  
Investec

Siu Wai Samuel Chung ACSI  
Citi

Claudio Cimatti  
Credit Suisse

Natalie Clark ACSI  
Butterfield Private Bank

William Simon Clarkson Webb ACSI  
Barclays

Freddie Cleworth ACSI  
EQ Investors

Nicholas Clough

Sophie Coleman, Chartered MCSI  
Triple Point Investment Management

Stephen Coles ACSI

Frederick Collins ACSI  
Beaufort Securities

Nathalie Connell ACSI  
Tilney Bestinvest

David Cook  
Barclays

James Cook ACSI  
Brewin Dolphin

Michael Cook

Stefan Cooksammy  
Deutsche Bank

Craig Copeland ACSI  
Collins Sarri Statham Investments

Sam Corbet ACSI  
Ravenscroft

Daniel Cordery ACSI  
Kleinwort Benson

John Cornwall

Phoebe Courtley  
Coutts

Simon Cowley, Chartered MCSI  
Network Ventures

Samuel Cox  
C Hoare & Co.

Benjamin Crabb

Stuart Crandle ACSI  
Millen Capital

Sebastian Culpan-Scott ACSI  
Investec

Nigel Cutts ACSI  
Smith & Williamson

Cliff Da Silva  
RBC Wealth Management

Sara Daher  
HSBC

Siri Dahlquist ACSI  
Barclays

Sandra Dailidyte ACSI  
Tcam Asset Management

Hussein Dajani ACSI  
Europe Arab Bank

Mary Anne Dakas

Nicholas Dalton  
Barclays

James Daly ACSI  
Tilney Bestinvest

Christian Davies ACSI  
Collins Sarri Statham Investments

Elizabeth Davies ACSI  
Cazenove Capital Management

Neil Davison

# Awards Ceremony 2016 Achievers

Sophie Day  
Goldman Sachs

Edwin de Bruijn

Maxim de Carteret, Chartered MCSI  
Moore Stephens Financial Management

Wauthier de Chabot de Tramecourt  
ACSI  
J.P. Morgan

Ingrid De Falco ACSI  
Goldman Sachs

John De Garis, Chartered MCSI  
Edmond de Rothschild

Giorgia De Giorgi  
J.P. Morgan

Thomas Dean ACSI  
Galvan Research & Trading

Lara Delgado Nuno ACSI  
Citi

Melanie Denman  
PricewaterhouseCoopers

Pritesh Desai MCSI

William Dickson ACSI  
Prydis Wealth

Julian Dieppe ACSI  
Fiske

Rebecca Djanogly  
Coutts

Kenneth D'Mello ACSI  
Intrinsic Capital

Monika Dobinson ACSI  
Brewin Dolphin

John Dobson ACSI  
TD Direct Investing

Peter Douglas ACSI  
Brewin Dolphin

Ben Douglass-Lee ACSI  
Beaufort Securities

John Doyle, Chartered MCSI  
Gower Financial Services

Hugh Draycott ACSI  
Brewin Dolphin

Ross Drummond

Joel Dungate ACSI  
Redmayne Bentley

Katharine Dunne  
Brewin Dolphin

Philip Edwards

Sara Ellfors  
Goldman Sachs

Olivia Ellis

Henry Elston ACSI  
Smith & Williamson

John Emencheta ACSI

Catherine Evans ACSI  
Standard Advisory London

Ioanna Evripidou  
Citi

Adam Ewing  
Coutts

Joshua Eyre  
Barclays

Stuart Fairhurst ACSI  
Walker Crips

Robert Fernando  
Credit Suisse

Euan Finlay ACSI  
Partners Capital

James Firth MCSI  
Vestra Wealth

Michael Fischer

David Fisher ACSI  
Beaufort Securities

Lawrence Fisher MCSI  
BRI Wealth Management

Matthew Fisher, Chartered MCSI  
Brewin Dolphin

Sinead Fisher  
Brewin Dolphin

Anna Fiumara  
Barclays

Charlotte Forder

Karen Foster ACSI  
Walker Crips

Rowan Francis ACSI  
Tamac

Rory Franks ACSI

Paul Fraser

Giorgia Fuglini ACSI  
Credit Suisse

Christopher Gabbett ACSI  
Vestra Wealth

Aziza Galimova Ramos ACSI  
Credit Suisse

Daniel Gallagher ACSI  
Killik & Co

Isabella Galliers-Pratt ACSI  
JM Finn & Co

Paola Gallucci  
Barclays

Frederick Garden, Chartered MCSI  
Adam & Company

Colin Garland ACSI  
Rowan Dartington

Charlotte Garner ACSI  
Investec

Sarah Garnett  
HSBC

Pablo Garnica de Juan ACSI  
Goldman Sachs

Ben Gaulton ACSI  
John Gaulton Independent Financial  
Advisors

Omar Gaydarov ACSI  
Barclays

Lucas Gaynor ACSI  
H J Scott & Co

Daniel Gee ACSI  
Cornhill Capital

David Gibbs  
Coutts

Laura Giddings MCSI  
Brewin Dolphin

Jamie Gill ACSI  
HSBC

James Goddard  
UBS

Jonathan Gollop ACSI  
Citi

Anton Gordin ACSI

Daniel Gordon ACSI  
Barclays

Nathalie Gorshkova ACSI  
Royal Bank of Canada

Adam Gradwell ACSI

Rory Grant  
Barclays

Cheryl Grech  
ifsMalta

# Awards Ceremony 2016 Achievers

James Green ACSI  
Tilney Bestinvest

Jodie Green ACSI  
Quilter Cheviot

Rachel Kay Greenaway ACSI  
Ashburton

Adam Greendale ACSI  
Brown Shipley

John Greenwood, Chartered FCSI  
Creechurch Capital

Stephen Grell ACSI

Harriet Griffin ACSI  
Charles Stanley & Co

Neil Griffin ACSI  
Kleinwort Benson

Melyan Guerrero  
Citi

Miriam Gunn-Johnson ACSI  
Investec

Aras Guvenc  
Maxis Investments

Syed Asad Habib ACSI  
UBS

Samantha Hackett ACSI  
Saltus Partners

Brinley Hales  
Goldman Sachs

Oliver Hall ACSI  
Investec

Michael Hamblin ACSI  
Plurimi Wealth

Robert Hamilton  
Coutts

Zahran Haque ACSI  
Smith & Williamson

Robert Harding ACSI  
Raymond James Investment Services

Marc Harris

Daniel Harvey ACSI  
Chadwicks

Jordan Hawkins  
Coutts

Lisa Helliwell ACSI  
UBS

Ian Henderson

Mitchell Henke ACSI  
Fisher Investments

Tobias Herbert-Caesari  
Coutts

Adam Heyes ACSI  
J Edward Sellars

Stephen Hicks ACSI  
Oakleys

Ceri Hill ACSI  
Brewin Dolphin

Kim Hinds  
Coutts

Paul Hirschi ACSI  
Julius Baer

Thomas Hodgson, Chartered MCSI  
Clearwater Wealth Management

Aimee Hogg ACSI  
Charles Stanley & Co

Thomas Holbrook ACSI  
Investec

Gregory Holden ACSI  
Investwise Asset Management

Rachael Holder ACSI  
Brewin Dolphin

John Hollin

David Holloway  
Barclays

Katharine Horton ACSI  
Whitley Asset Management

Timothy Damian Edward  
Houghton-Clemmey ACSI  
Ahli United Bank

Steffen Hoyemsvoll  
Strand Capital

Ei May Htaik ACSI  
Smith & Williamson

Grant Hughes MCSI  
Coutts

Alexander Hughes MCSI  
Seven Investment Management

John Hunter ACSI  
Beaufort Securities

Jamie Hunter  
Smith & Williamson

John Huntington ACSI  
Credit Suisse

Shahbear Hussain CFP™ Chartered MCSI  
Brewin Dolphin

Marius Hutcheson ACSI  
Quilter Cheviot

Robert Hyde  
Coutts

James Ingrey ACSI

Jennifer Innes  
Brooks Macdonald Asset Management

Renato Iversson ACSI  
J.P. Morgan

David Jackman

Stephen Jackson ACSI

Sachin Sushil Jain  
Barclays

Philip James  
Coutts

Nikolai Jelev ACSI  
Banque Havilland

Asuquo John ACSI

Lauren Johnson  
Coutts

David Johnson ACSI  
EFG Private Bank

Henry Johnstone ACSI  
Cazenove Capital Management

Ross Jones ACSI  
Beaufort Securities

Timothy Jones ACSI  
Friends Life

Darren Jordan ACSI  
Fisher Investments

Khayyam Jumani  
J.P. Morgan

Salik Kadri ACSI  
Asquire Wealth Management

Efstathios Kagioulis ACSI  
Citi

Siddhartha Kapila  
SG Hambros Bank

Aman Kapoor ACSI  
Citi

Miranda Karademir  
IDAD

Ilias Karantonis, Chartered MCSI

# Awards Ceremony 2016 Achievers

Arham Karim Citi	Yee Ting Li	Benjamin Manser, Chartered MCSI Coutts
John Kassabian ACSI	Jack Light ACSI Rothschild Wealth Management	Soumaya Mansouri ACSI Insinger de Beaufort
Petros Katounas ACSI Citi	Kathryn Linde ACSI Credo Capital	Cleo Marangou
Edward Kaznowski Nutmeg Saving and Investment	Frederick Lindesay ACSI Citi	Chris Marshall CFP™ Chartered MCSI 75Point3
Mark Kennett,Chartered MCSI	Alfie Linsell	Paul Marshall ACSI Barclays
Michael Kent ACSI Brown Shipley	Colin Littlejohn Barclays	Maxim Martchenko ACSI Smith & Williamson
Gytis Keraitis Banque Havilland	Carl Fredrik Ljung ACSI J.P. Morgan	Gary Martin, Chartered MCSI Hawkmoor Investment Management
Steven Kettle Stonehage Fleming Investment Management	Joshua Llewellyn ACSI Psigma Investment Management	Thomas Mary ACSI Royal Bank of Canada
Stephen Kieft CPA Audit	Orianne Lobjois HSBC	Amal Mashru ACSI Mattioli Woods
Richard Killoran	Jack Lodge ACSI Royal Bank of Canada	Christian Mather ACSI SVS Securities
Amy Kirby ACSI Rathbones	Kevin Longmate ACSI TD Direct Investing (Europe) Ltd	William Matthewman ACSI Charles Stanley & Co
Matthew Kirkland Schroders	Shane Lynch ACSI	Paul Mattick ACSI Oxford Capital Partners
Kishen Kumar ACSI SVS Securities	Gabriella Macari ACSI Dart Capital	Stuart May Coutts
Timothy Landon ACSI Kleinwort Benson	Niall Macdonald ACSI Bank House Investment Management	Obhenerholo Mba MCSI
Barbara Lavos Pereira Pucarinho Zenith Bank	Sarah MacDonald ACSI Credit Suisse	Simon McAlister ACSI Templeton Securities
Christopher Lawrence ACSI SG Hambros Bank	Luke Macklin Goldman Sachs	Kate McCarthy, Chartered MCSI JM Finn & Co
Jayne Lawson ACSI Rothschild Wealth Management	Archie MacLellan ACSI Canaccord Genuity Wealth	Philip McHugh ACSI Currencies Direct
Douglas Lawson ACSI	Murdo MacLennan	Brenda McLaughlan ACSI Rowan Dartington
Tiffany Le Cornu Homebuyer Financial Services	Alessandro Maggiore Goldman Sachs	Andrew McLean ACSI Rossie House Investment Management
Christopher Leach MCSI Ashcourt Rowan	Kamran Malik ACSI UBS	Joe Measom ACSI Investec
Thomas Ledger ACSI Vestra Wealth	Jordana Malinowska ACSI Kleinwort Benson	Grant Mellor MCSI Julius Baer
James Lee	Eduard Mallia Brooks Macdonald Asset Management	Silvana Merlo Royal Bank of Canada
Rory Lees-Millais ACSI Smith & Williamson	Richard Mann Barclays	David Merriam Smith & Williamson
Laurence Leigh ACSI Goldman Sachs	Mehr Mann Coutts	Harry Merrison ACSI Brewin Dolphin
Thomas Lewis ACSI	James Manners ACSI Standard Bank	

# Awards Ceremony 2016 Achievers

John Messer  
Brooks Macdonald Asset Management

Georgios Metallinos ACSI  
Citi

David Michael  
HSBC

Nicholas Michaels  
AES International

Mihaela Mihai ACSI  
Citi

Ian Miles ACSI  
SG Hambros Bank

Nanthen Mohanadas  
UBS

Raymund Monaghan ACSI  
Coutts

Mark Moore  
Mattioli Woods

Paul Moore  
Threadneedle Investments

Scott Moorhouse ACSI  
Seven Investment Management

Thomas Mors ACSI  
Brewin Dolphin

Alan Morton  
Barclays

Russ Mould MCSI  
A J Bell

Justin Moule  
Brewin Dolphin

Arash Mulahasani Majedabadi Kohne  
ACSI  
Goldman Sachs

John Mullens  
Global Reach Partners

David Mun-Gavin ACSI  
Insinger de Beaufort

John Myers

Benedict Nagle-Taylor  
Coutts

Samantha Nally ACSI  
Groupe Financiere Hottinger & Company

Krishen Neil Nandlall

Prashanth Natarajan  
Citi

Anastasia Nebojanko  
Coutts

Alexander Neilson  
Schroders

Samuel Newson ACSI  
Citi

Khang Nguyen ACSI  
Brown Shipley

Jesper Nielsen ACSI  
Fisher Investments

Athanasios Nikolaou ACSI  
EFG Private Bank

Andrew Nixon ACSI  
Galvan Research & Trading

Emmanuel Nkwenti

James Norrington  
Financial Times

Sean North ACSI  
Beaufort Securities

Ashley Northgrave ACSI

Liam O'Byrne ACSI  
Beaufort Securities

Alexander O'Mahony ACSI

Aaron O'Brien ACSI  
Jefferies

Philip Oconnor ACSI  
Western Union

Kieran O'Connor ACSI  
Rowan Dartington

Caoimhe O'Driscoll ACSI  
London Stone Securities

Frank Oldeweme ACSI  
Insinger de Beaufort

Rachael Oldham  
Coutts

Robert Oliver  
Coutts

Jennifer O'Neill ACSI  
Quilter Cheviot

Jamie O'Neill ACSI  
Quilter Cheviot

Timothy Orchard ACSI  
Courtville Partners

David O'Reilly, Chartered MCSI  
Hampton Court Capital

Kyle Osborn  
J.P. Morgan

Katherine O'Shea  
Coutts

Morten Oxvig ACSI  
Fisher Investments

Rahul Pahwa  
Citi

Jason Palmer ACSI  
Agincourt Financial

Senem Pamukcu ACSI  
Citi

Eleftheria Papageorgiou ACSI  
Canaccord Genuity Wealth

Christopher Paris

Chloe Parsons ACSI  
JM Finn & Co

Fabrizio Pasta ACSI  
Hyde Park Investment

Nikita Patel ACSI  
Whitefoord Wealth Management

Priti Patel

Sunil Patel

Erwan Paugam  
J.P. Morgan

Laura Pauli ACSI  
SG Hambros Bank

Daniel Perkins ACSI  
Citi

Ryan Perry  
Credit Suisse

Fabrizio Pessina ACSI  
UBS

Amy Pethers

Irina Phillips ACSI  
Lombard Odier (Europe)

Paul Philp, Chartered FCSI  
Canaccord Genuity Wealth

Solomon-Siddhartha Phoenix ACSI  
JNF Capital

Riccardo Pianeti  
Citi

Arthur Pierse  
AFEX

Francois Pignat  
J.P. Morgan

# Awards Ceremony 2016 Achievers

Noelia Pinto Zenith Bank	Delyth Richards ACSI Kleinwort Benson	Carlo Sammartano ACSI Goldman Sachs
Demetris Pisiaras EFG Private Bank	Ian Richardson MCSI Financial Services Training Partners	George Sanders ACSI J.P. Morgan
Francisco Jose Pizarro Goldman Sachs	Joshua Rimmer ACSI Ingenious Asset Management	Ross Sando, Chartered MCSI Collins Sarri Statham Investments
Pavel Poloskov ACSI Fusion Asset Management	Colin Ringrose ACSI ADM Investor Services International	Takunda Sando
Robert Poore Coutts	Jean-Francois Rio	Josh Sanford ACSI Hargreave Hale
David Poore ACSI TTT Moneycorp	Lucy Ritchie Vestra Wealth	Genco Sanli ACSI Commerzbank
Chris Power ACSI Davy	Carl Roberts ACSI Even Keel Partners	Mariolina Satta Citi
James Pridmore ACSI Brewin Dolphin	Matthew Roberts ACSI Royal Bank of Canada	Toby Saunders ACSI JM Finn & Co
Louis Prior Brewin Dolphin	Alexander Robertson Coutts	Pelin Savata Emre Standard Chartered Bank
Carl Pugh ACSI Butterfield	Cecily Robinson Coutts	Ekaterina Savelyeva ACSI Plurimi Wealth
Sophie Purcell ACSI Jefferies	Rebecca Rogers Smith & Williamson	Benjamin Karl Schiessl
Yan Qiao ACSI Goldman Sachs	Jason Rolf ACSI Amati Global Investors	Helen Schmitt ACSI Citi
Qianqi Quan ACSI Smith & Williamson	Leah Ronayne UBS	Harry Schofield ACSI Psigma Investment Management
Chaeli Quinland Coutts	Carl-Fredrik Rorstrom	William Scholey ACSI Killik & Co
Lahari Ramuni ACSI Killik & Co	Francis Roseman ACSI Citi	James Schute ACSI Capital Planning Partners
Rizwahan Ratansi	Isar Rosenberg ACSI Credit Suisse	Victoria Scotcher Schroders
Hew Rattray ACSI Cornhill Capital	Holly Rosier C. Hoare & Co	Duncan Scott Smart Currency Exchange
Apprameya Ravi ACSI Barclays	Hannah Rosley EFG Private Bank	Adam Searle
Marc Reale ACSI JNF Capital	Bruce Ross ACSI	Paul Seligman ACSI
Joshua Reece Beaufort Securities	Philip Rossi ACSI	Martin Selman
Chester Reed ACSI Consumer Wealth	Anastasios Roussos ACSI Citi	Anjuli Sethia
Myles Renouf ACSI Ravenscroft	Nick Rusbridge Brown Shipley	James Shackell HSBC
Joshua Reuben	Adam Russ ACSI Goldman Sachs	Sunil Shah Citi
John Richards ACSI Attivo Investment Management	Robert Russell	Kunil Shah Schroders
	Gurcharan Sahota Brooks Macdonald Asset Management	James Shanks ACSI
		Chakshay Kumar Sharma ACSI



# Awards Ceremony 2016 Achievers

Simon Sharrott ACSI  
MitonOptimal

Faisal Sharwani ACSI  
J.P. Morgan

Rebecca Shea ACSI  
Daniel Stewart

Kyle Shea ACSI  
Royal Bank of Canada

Steven Shelley ACSI

Robert Shirley  
Shard Capital

Genevieve Shorthouse  
Goldman Sachs

Dario Siciliano ACSI  
Rothschild Wealth Management

Angelos Sigalas ACSI  
Azure Wealth

Charlotte Silwizya ACSI  
Brown Shipley

Jasraj Singh ACSI  
Collins Sarri Statham Investments

Oleg Sizov  
GEM (Global Entrusted Management)

Sandra Sjoo  
Juniper Place

Maria Skanavi  
Pictet Asset Management

Edward Smith ACSI  
Addvison Wealth Management

James Smith ACSI  
EFG Harris Allday

Daniel Smith ACSI  
Investec

Gregory Smith ACSI  
Killik & Co

James Smith ACSI  
Royal Bank of Canada

David Smylie  
Coutts

Charlotte Sneddon ACSI  
Investec

Jonathan Snee ACSI  
Raymond James Investment Services

Tracey Snell ACSI  
HSBC

Rebecca Snelling  
Coutts

Senka Softic ACSI  
Goldman Sachs

Andrea Solana ACSI  
MASECO

Mark Solway  
Royal Bank of Scotland

Christopher Somers ACSI  
Royal Bank of Canada

Caspar Speake ACSI  
Barclays

John Robert Ivor Spencer Churchill MCSI  
MIO Partners

Adam Spring  
Thesis Asset Management

Rayhan Sri Ghandi  
Charles Stanley & Co

Shrinivas Sridharan  
Citi

Alexander Stepanenko ACSI  
Fusion Asset Management

Rosemary Stevens  
Guernsey Financial Services Commission

Anthony Stilwell ACSI  
Quilter Cheviot

Leanne Stone ACSI  
Brewin Dolphin

Ben Stoves ACSI  
Rowan Dartington & Co

Alastair Stuart  
Vestra Wealth

Claire Summers ACSI  
Redmayne Bentley

Martyn Surguy MCSI  
Credit Suisse

Rachel Sutton ACSI  
C. Hoare & Co

John Swallow

Luke Sword ACSI  
J Edward Sellars

Heather Sye

David Sylvester, Chartered MCSI  
Investec

Anasztaszija Ruszlanovna Szegalne  
Kravcova  
Citi

Jai Tan ACSI  
Royal Bank of Canada

Paulo Tapada Santos ACSI  
Barclays

Kay Taylor MCSI  
HSBC

Richard Telfer MCSI  
UBS

Anish Thakkar  
Forex Capital Markets

Sean Thapar  
Killik & Co

Anna Thomas ACSI  
Hedley & Company Stockbrokers

Peter Thomas  
Organic Investment Management

Christopher Thome ACSI  
Tamac

Callum Thomson  
Coutts

Mark Thomson ACSI

James Thornhill  
Smith & Williamson

George Tidbury  
Goldman Sachs

Jochem Tielkemeijer ACSI  
Fisher Investments

On Zen Tiong  
Coutts

Gavin Tompkins ACSI  
Barclays

Oksana Torbych  
Barclays

Karl Townsend ACSI  
Arnold Stansby & Co

Thomas Tragett ACSI  
Fleet Street Publications

Marceline Try ACSI  
SG Hambros Bank

Michael Tsangaris

Julian Tsoi ACSI  
Citi

Bob Turmel MCSI

Harrison Turner  
Brewin Dolphin

# Awards Ceremony 2016 Achievers

Alexandros Tzianoumis  
Utilyx

Chrysanthi Vakla ACSI  
Credit Suisse

Patrick van der Wyck

David Van Dyke  
UBS

Alwyn van Staden ACSI  
Affinity Financial Awareness

Andrew Vaughan-Payne ACSI  
Waverton Investment Management

Lucas Verlaan  
Fisher Investments

Aaron Vickery

Daniel Vile ACSI  
JM Finn & Co

Gianluca Vitelli ACSI

Margarita Vogiatzi MCSI  
Berenberg Bank

Ellina Volkova  
Citi

Tatyana Vorobeichik  
Citi

Julian Vydelingum MCSI  
Killik & Co

Kanika Wahi ACSI  
Standard Chartered Bank

Richard Wallace ACSI  
Barclays

Rhyanna Walton ACSI  
Investec

Yan Wang ACSI  
Bank of China

Edward Wansbrough Echevarria

Meredith Ward  
Coutts

Alice Watson  
Quilter Cheviot

Sugath Weerasinghe ACSI  
London Stone Securities

Martin Wetherill ACSI  
Brewin Dolphin

Aaron Whelan  
Western Union

Karly White

Andrew Whiting ACSI  
HSBC

Emma Williams ACSI  
Barclays

Christopher Williams  
Fitch Learning

Christopher Williams  
HSBC

Jedidiah Wilson ACSI  
True Potential

Alasdair Wilson ACSI

Natalie Winter ACSI  
HSBC

Darius Wolfson ACSI

Thomas Wooden  
Coutts

Katie Woodward  
Coutts

Thomas Woulfe

Daniel Wright ACSI  
Equitrade Capital

Emma Wright ACSI  
UBS

Zhenying Wu  
Partners Capital

Hoi Yee Yip  
SG Hambros Bank

Ivo Young-Herries ACSI  
Schroders

Andrea Yung

Zeid Hisham Zagha ACSI  
Europe Arab Bank

Asad Zaidi  
J.P. Morgan

Hina Zia ACSI  
Fisher Investments

Lucia Zwirner  
AFEX

# Awards Ceremony 2016 Achievers

---

## Advanced Operational Risk

**Kirsty Ambrose**  
Royal Bank of Scotland

**Shelley Burgess**  
Bank of England

**Stuart Davison**  
INTL FCStone

**Edmund Eze ACSI**  
Montpelier Asset Management

**Daniel Gallagher**  
Northern Trust

**Louise Gildea**  
Morgan Stanley

**Riki Holmes**  
J O Hambro Capital Management

**Laura Jerrom**

**Nicola Johnstone**  
Morgan Stanley

**Panagiota Kovani**  
Grant Thornton

**Kevin Last**

**Ritchie Leccia**  
M&G

**James Lee**  
Morgan Stanley

**Gordon MacLean**  
Morgan Stanley

**Stuart Pearce**  
UBS

**Jabeen Rizvi**  
UBS

**Alice Samuel**  
HSBC Bank

**Rebecca Scott**  
Morgan Stanley

**Sam Seabrook**  
Morgan Stanley

**Saylee Sholapurkar**  
Morgan Stanley

**Lawrence Southern**  
Morgan Stanley

**Claire Tumilty**  
Morgan Stanley

**Matthew Tristan Walker**

**Genevieve Waller ACSI**

# Awards Ceremony 2016 Achievers

## Advanced Global Securities Operations

Chamara Abeywardhane

Timothy Atkins, Chartered MCSI

Kevin Birch, Chartered MCSI  
Walker Crips

Lisa Bradley, Chartered MCSI  
Rathbones

Salvatore Chiocca ACSI  
Arbuthnot Latham

Abdoulaye Diallo MCSI

Ricky Ferbrache ACSI  
ABN AMRO

Alistair Flaws  
J.P. Morgan

Stephen Green ACSI  
Deutsche Bank

Jack Greenfield  
Capita

Glenn Hookway  
Jefferies

Felicity Hooper ACSI  
Investec

Christopher Jehan, Chartered FCSI  
Investec

Clive Malone  
Deutsche Bank

Ather Mumtaz  
Sanabil Investments

Nilesh Patel ACSI  
Smith & Williamson

Keith Plummer MCSI  
HSBC

Churamani Prasad  
DBS Bank

Katherine Pugh  
Schroders

Dayan Anuradha Ranugge ACSI  
HSBC

Chris Sayer ACSI  
Investec

Jonathan Shields ACSI  
Investec

Craig Shores ACSI  
Euroclear

Faye Smith MCSI  
Investec

Christopher Stanford  
Euroclear

Jaroslav Stos

Ramesh Tungam ACSI  
Thomson Reuters

John Whiteford  
Lombard Odier

George Whittaker ACSI  
Investec

# Awards Ceremony 2016 Achievers

## Private Client Investment Advice & Management

Timothy Adams MCSI  
Tilney Bestinvest

Joseph Adamson  
Barclays

Nicholas Albery MCSI

John Allan MCSI  
Barclays

Mohammed Fahim Amdani MCSI

Ross Anders ACSI  
Whitefoord Wealth Management

James Appleby Chartered MCSI  
HSBC

James Arumainayagam MCSI  
Vestra Wealth

Christoph Atkins MCSI  
Rathbones

David Aylward  
Deloitte

Abul Kalam Karim Azad Chowdhury

Harminder Bains  
Edward Bond Wealth Management

Philip Baker MCSI  
C. Hoare & Co

Rodney Barker ACSI  
Credit Suisse

Michael Barney, Chartered FCSI  
Homebuyer Financial Services

Christopher Barry MCSI  
deVere

Hamish Barton MCSI  
Investec

Payal Bee MCSI  
BNP Paribas

Jennifer Beer MCSI  
Speirs & Jeffrey

David Bell, Chartered FCSI  
Lombard Odier

Claire Bennison, Chartered FCSI  
Brooks Macdonald Asset Management

Michael Billcliffe MCSI  
Coutts

Charles Birchall MCSI  
Seven Investment Management

James Bishop  
KPMG

Emma Black MCSI  
Tier One Capital

Sam Blackburn MCSI  
TD Direct Investing

Derek Bone  
Barclays

Samuel Boughton MCSI  
Coutts

Sean Bougourd MCSI  
SPF Private Clients

Sarah Bourgein, Chartered MCSI  
Towry

James Bowman MCSI  
Coutts

Martin Bowmer MCSI  
HSBC

Cynthia Bowring MCSI  
Sanlam Private Investments

Nigel Breakell, Chartered MCSI  
Brunswick Investment Management

Megan Brennan  
Coutts

Dominic Brentnall  
Barclays

Rosanne Briggs  
Barclays

Matthew Brittain, Chartered MCSI  
Absolute Return Capital Management

Darryl Brock, Chartered MCSI  
FM Investment Services

Osei Brown MCSI  
HSBC

Timothy Burge ACSI

Ryan Caines MCSI  
Investec

Grace Canavan  
Schroders

Sam Carleton ACSI  
Arbuthnot Latham

Neil Carroll  
Barclays

Joseph Carruth  
Barclays

Adam Carruthers MCSI  
Barclays

Edward Caswell, Chartered MCSI  
Cave & Sons

Nicola Charley MCSI  
Enlease Financial Planning

James Coquelin MCSI  
HSBC

Jonathan Cotty MCSI

Phoebe Courtley  
Coutts

Stephen Cowell, Chartered MCSI  
Rowan Dartington

Jennifer Curwen MCSI  
Sanlam Private Investments

Tony Dabner MCSI  
Old Mutual Wealth

Ankul Daga  
Vanguard Asset Services

Christopher Dale MCSI  
Coutts

Claire Davies MCSI  
Coutts

Christopher Davis  
KPMG

Adam Dawe MCSI  
Church House Investments

Stephen Daykin MCSI  
Coutts

Maxim de Carteret, Chartered MCSI  
Moore Stephens

Guy De Zulueta MCSI  
Killik & Co

Paul Deen  
Barclays

Pritesh Desai MCSI

Neil Deshpande  
Royal Bank of Scotland

Christopher Dicker MCSI

# Awards Ceremony 2016 Achievers

Oliver Didham MCSI  
UBS

Christopher Dobinson  
Coutts

Luke Dods

Jatinder Dosanjh  
Barclays

John Douglas  
Barclays

Matthew Drage MCSI  
Deloitte

Neal Drennan, Chartered MCSI  
Fisher Investments UK

Philip Duffin, Chartered FCSI  
Asset Risk Consultants

Douglas Dunn  
Barclays

Olivia Ellis, Chartered MCSI  
Butterfield Bank

Hanna Eriksson MCSI  
SG Hambros Bank

Nicholas Evans ACSI  
Sarasin & Partners

Paul Fairfoull MCSI  
Credit Suisse

Samuel Farage  
KPMG

David Fell MCSI  
Killik & Co

James Firth MCSI  
Vestra Wealth

Darren Fox

William Griffin Freeman, Chartered MCSI  
Falcon Private Wealth

Farrell Frisby MCSI  
Vestra Wealth

Iulita Georgieva  
KPMG

Robert Gibbs, Chartered MCSI  
GFT

Daniel Giddings  
BNY Mellon

James Gilfillan  
Royal Bank of Scotland

Jenny Glynn ACSI

James Goddard  
UBS

William Godsave

Paul Gomme MCSI  
Ernst & Young

Nick Goodson MCSI  
Butterfield Private Bank

Amy Goodson, Chartered MCSI  
Leo Wealth Management

Duygu Gozeler Porchet MCSI  
Deutsche Bank

Paul Green  
Thames River Multi Capital

Ben Griffiths, Chartered MCSI  
Brown Shipley

Richard Griffiths MCSI  
SG Hambros Bank

Ben Hamilton, Chartered MCSI  
Elian

Mark Harrod  
Barclays

Jordan Hawkins  
Coutts

Terence Hearne MCSI  
Schroders

Tom Helme MCSI  
Baillie Gifford

David Henry  
Vestra Wealth

Tobias Herbert-Caesari  
Coutts

Jade Hilton, Chartered MCSI  
Investec

Matthew Hodge ACSI  
HSBC

Christopher Holdoway MCSI  
Bowmore Asset Management

Rachel Holdsworth MCSI  
Ruffer

Divyang Honawar ACSI  
Schroders

Alan Horsfield  
KPMG

Edward Howison MCSI  
Maseco Private Wealth

Grant Hughes MCSI  
Coutts

Alexander Hughes MCSI  
Seven Investment Management

Niall Husbands MCSI

Zahid Hussain  
HSBC

Adnan Hussain

Robert Hyde  
Coutts

Alexander Imrie MCSI  
Smith & Williamson

Andrew Jackson MCSI  
Butterfield

Hugo Jacques MCSI  
UBS

Scott Jagger MCSI  
Coutts

Christopher Jenkins MCSI  
JLT Group

Holly Jennings MCSI  
Coutts

Ajaypal Johal MCSI  
Ruffer

Daniel Jolliffe MCSI  
Barclays

Sean Jones MCSI  
Coutts

Alexandra Keep ACSI  
Cornelian Asset Managers

Jason Kerr MCSI  
HSBC

Sabina Khan  
C. Hoare & Co

Hyun Kim  
Odey Wealth Management

Matthew Kirkland  
Schroders

Mark Knight, Chartered MCSI  
Standard Bank

Alena Kosava MCSI  
Tilney Bestinvest

Dean Layzell MCSI  
Team Asset Management

Hugo Le Poidevin, Chartered MCSI  
RBC Wealth Management

Suzanne Leary  
Lloyds Banking Group

# Awards Ceremony 2016 Achievers

Philip Legrand MCSI  
HSBC

Pier Leon ACSI  
Credit Suisse

Ruth Leslie  
Deloitte

Adam Lythgoe  
Coutts

James Macpherson ACSI  
HSBC

Matthew Mahoney MCSI  
Brown Shipley

Stefanie Maier MCSI  
UBS

Susannah Maister-Gray MCSI

Mutaib Malik, Chartered MCSI  
Pinnacle Wealth Management

Rob Maltby MCSI

Ho-Fung Man  
Royal Bank of Scotland

Mehr Mann  
Coutts

Benjamin Manser, Chartered MCSI  
Coutts

Ben Martin  
Barclays

Paul Martin MCSI  
Brewin Dolphin

Anthony Matthews MCSI  
Royal Bank of Scotland

Anna McCreedy MCSI  
Adam & Company

Lee McHardy

Aiden McNaughton  
Barclays

Sara-Jayne Messenger

Henning Meyer MCSI  
Sarasin & Partners

Stuart Milne MCSI  
Speirs & Jeffrey

Yogesh Mistry  
Barclays

Richard Mitchell, Chartered MCSI  
Arbuthnot Latham

Ioannis Monios  
Coutts

Brendan Monks, Chartered MCSI  
UBS

Charlotte Morgan MCSI  
M&G Investment Management

Richard Morris MCSI  
Killik & Co

Jacqueline Morton  
Investec

Hena Mosby MCSI  
Towry

Jamie Mourant MCSI  
Brooks Macdonald Asset Management

Gareth Munn  
Barclays

Rhea Munro, Chartered MCSI  
RBC Wealth Management

Thulasi Muraleetharan MCSI  
City Asset Management

Benedict Nagle-Taylor  
Coutts

Joseph Nazareth MCSI  
Coutts

Anastasia Nebojanko  
Coutts

Jamie Neilson MCSI  
Coutts

Margaret Noone  
Barclays

Abby O'Brien, Chartered MCSI  
Seven Investment Management

Alexander Orban MCSI  
Russell Gibson Financial Management

Debbie Packer MCSI  
Royal Bank of Scotland

Andrew Parkes, Chartered MCSI  
Finance Shop

Raksha Patel

Costas Pavlou MCSI  
St. James's Place Wealth Management

Ronak Paw  
Royal Bank of Scotland

Tyla Phillips  
Guardian Wealth Management

Robert Phillips MCSI  
HSBC

Francois Pignat  
J.P. Morgan

Robert Poore  
Coutts

Mark Pugh MCSI  
HSBC

Charlotte Ransom

Daniel George Reed  
Royal Bank of Scotland

Jennifer Renton MCSI  
Ruffer

John Reynolds MCSI  
Expert Pensions

Nicholas Ritchie  
Lloyds Banking Group

Emily Roberts  
Coutts

Wilfred Rockcliffe Fianko ACSI  
Lloyds Banking Group

Rohit Rohela

Holly Rosier  
C. Hoare & Co

Charlotte Routh MCSI  
Rothschild Wealth Management

Sarah Ryman, Chartered MCSI  
Bank Leumi

Thomas Salter MCSI

Katharine Samuels MCSI  
UBS

Timothy Sands MCSI  
Moneytree

David Scammell MCSI  
Brooks Macdonald Asset Management

Craig Schott, Chartered MCSI  
TC (Temasek/Singtel)

Darren Scott MCSI  
Royal Bank of Scotland

Gordon Scott

Edward Sealy  
KPMG

Nikki Sellick MCSI  
Coutts

David Selman MCSI  
Dcodes IT

Rephan Selvakumaran  
KPMG

# Awards Ceremony 2016 Achievers

Arpit Shah, Chartered MCSI  
AFH Wealth Management

Neer Shah  
Barclays

Neel Shah  
Fitch Learning

Frederick Shirley MCSI  
Ruffer

Gayle Simmons  
Barclays

Jerry Sisk MCSI  
Barclays

Anna Skrivanou ACSI  
HSBC

Tom Slater  
Barclays

Sharon Slingsby MCSI  
UBS

David Smylie  
Coutts

Jonathan Southgate MCSI  
Rowan Dartington

John Robert Ivor Spencer Churchill  
MCSI  
MIO Partners

Wayne Stanley

Christian Stephansen MCSI  
Berenberg Bank

Samantha Stiefel-Sevitt MCSI  
Ruffer

Nicola Strosnider MCSI  
S&T Asset Management

Gee Weng Sung MCSI  
Investec

James Swaby MCSI  
Investec

Daniel Szabo, Chartered MCSI  
Tilney Bestinvest

Richard Telfer MCSI  
UBS

Charlotte Thomas MCSI  
Royal Bank of Canada

Shirley Towns  
Barclays

David Trow  
KPMG

Jacqueline Turner  
Lloyds Banking Group

Philip Turner MCSI  
Rathbones

Stephanie Ulm, Chartered MCSI  
Groupe Financiere Hottinger & Company

John Waddell MCSI  
J M Taylor Financial Services

Enneka Walsh  
Coutts

Julien Ward MCSI  
Credit Suisse

Alexander Ward  
Fisher Investments UK

Sarah Warner MCSI  
Minerva Fund Managers

Abigail Wayne MCSI  
Northland Capital Partners

John West MCSI  
Investec

Thomas Weymouth CFP™ Chartered MCSI  
Amicorp

Christopher Wheatley MCSI  
Optimus Capital

Nichola Wilcox

Elizabeth Wild, Chartered MCSI  
Maunby Investment Management

Emma Wilkinson MCSI  
Richmond House Group

Alexander Williams MCSI  
Coutts

Mark Williams, Chartered MCSI  
Edward Bond Wealth Management

Christian Wilson  
Barclays

Katie Wilson-Brown ACSI  
Investec

Frederick Winter MCSI  
Coutts

Kirk Wisdom MCSI  
SG Hambros Bank

Nigel Wwendth  
Coutts

Miles Wyatt MCSI  
AFH Wealth Management

Winston Yap MCSI  
UBS

Michael Zacharia ACSI

Man Zhang MCSI  
Ruffer



# Awards Ceremony 2016 Achievers

## Diploma In Investment Compliance

Adenike Adebowale SMBC Nikko Capital Markets	Alyshia Harrington-Clark MCSI Financial Conduct Authority	Rebecca Oddy MCSI Investec
Stuart Allan MCSI	Christopher Harris, Chartered MCSI St. James's Place Wealth Management	Moradeke Olusegun, Chartered MCSI Financial Conduct Authority
Nadiya Allison Deutsche Bank	Richard Hart MCSI Charles Stanley & Co	Timothy Parsons MCSI
Arabella Anderson BDT Investment Management	Stephen Higgins	Suraj Anil Patel Spread Co
Jade Ashpole Holt Consultancy	John Hinge MCSI	Dalisha Patel
Akila Induwara Atapatthu, Chartered MCSI	Christopher Holliday MCSI	Andrew Powell
Lisa Bates MCSI Pearson Jones	Ban Ibrahim Lloyds Banking Group	Lisa Ramage MCSI Tcam Asset Management
Olivia Beckford	Sebastian Ion MCSI Barclays Bank	Emilie Ratz Bank of Tokyo Mitsubishi UFJ
Runa Begum MCSI Aberdeen Asset Management	Karina Jerie Teachers Assurance Company	David Rawlinson
Alexandra Benjamin Deutsche Bank	Matthew Jones	Himanshu Roy Financial Conduct Authority
Melissa Boswell Barclays Bank	Annika Sara Kalén ACSI Lloyds Banking Group	Charlotte Ryan Morgan Stanley
Andrew Bosworth MCSI Societe Generale	Evangelos Karatzas MCSI HSBC	Richard Sandilands Lehman Brothers
Omotara Brown MCSI Cheyne Capital Management	Panyin Adu S Koram, Chartered MCSI Barclays Bank	Paul Scott MCSI HSBC
Rachael Caroo MCSI Alken Asset Management	Daniel Kratka MCSI Triland Metals	Mohammad Sharifzadeh
Jonathan Davis Shire Wilshire Lewis Capital Markets	Yun Lan	Danielle Simon Deutsche Bank
Alexander Dermody MCSI Bank of Tokyo Mitsubishi UFJ	James Le Dain MCSI	Claire Southam MCSI Orchard Wealth Management
Daniel Domanski Numis Securities	Jekaterina Leonenko Morgan Stanley	Matthew Speck MCSI
Niall Dudgeon	Elaine Letts HSBC	Rachel Stevenson MCSI
David Dwyer, Chartered MCSI Pricewaterhouse Coopers	Claude Lobo MCSI	David Stow MCSI Deloitte
Chloe English Barclays Capital	Timothy Lowe Morgan Stanley	George Swales Fidelity
Jiwen Fei MCSI AFX Capital Markets	Alexander Meigh ACSI Schroders	Philip Toop MCSI
Norma Galvin	William Miller	Zeynep Turunc
Sarah Garamszegi Maven Securities	Paul Mitchell MCSI International Financial Data Services	Chidi Umezurike CK Law Solicitors
Lorraine Gribbon Barclays Capital	Edward O'Bree Bovill	Samit Uppal MCSI
	Sarah O'Brien Lloyds Banking Group	Philippa Verzuu
		Jodie Watling MCSI Menzies Wealth Management

# Awards Ceremony 2016 Achievers

---

Laura West

Katerina Williams

Peter Wilson MCSI

Taylor Wessing

Yang Yu

Bank of Tokyo Mitsubishi UFJ

# Awards Ceremony 2016 Achievers

---

## Diploma in Investment Operations

Paul Allison, Chartered FCSI  
KPMG

Emma Bryant MCSI  
Towry

Tina Buljeeon  
Deutsche Bank

Adam Chaplin MCSI  
Deloitte

Alina Craig ACSI  
Morgan Stanley

Abdoulaye Diallo MCSI

Grant Gourlay MCSI  
J.P. Morgan

Gareth Hodson MCSI  
EFG Harris Allday

Simon Howe MCSI  
Howe Yun Associates

Christopher Jehan, Chartered FCSI  
Investec

Scott Lake  
Baillie Gifford

Edmund Lewis ACSI  
Waverton Investment Management

Paul Masters

Christian Searle  
Old Mutual Wealth

Tahir Shahzad MCSI

Faye Smith MCSI  
Investec

Michael Somers MCSI  
AXA

John Sturges, Chartered FCSI  
Jefferies

Loren Turner MCSI  
Walter Scott

John Whiteford  
Lombard Odier Asset Management

Michal Wilczopolski MCSI  
Morgan Stanley

Lilia Zhivkova  
Morgan Stanley

# Awards Ceremony 2016 Achievers

## CISI Diploma

Minakshi Sunil Agrawal MCSI  
J.P. Morgan

Mohamed Ali Alsaibai MCSI  
Reading University

James Attwood  
KPMG

Thomas Axup MCSI  
Dimensional Fund Advisors

Donald Barlow MCSI

Carl-Gustav Beckmann MCSI

Samuel Bedlow, Chartered MCSI  
Coutts

Jennifer Beer MCSI  
Speirs & Jeffrey

James Bishop  
KPMG

Nicolette Brown, Chartered MCSI  
Investec

Kelly Cameron MCSI  
Baillie Gifford

Laetitia Campbell MCSI  
Ruffer

Alice Carson MCSI  
Sarasin & Partners

Peng Cheng MCSI

Jay Chhasatia MCSI

Scott Clark MCSI  
Baillie Gifford

Jack Coggins MCSI

Alison Cuthbert MCSI  
Baillie Gifford

Tony Dabner MCSI  
Old Mutual Wealth

Christopher Davis  
KPMG

Todor Donchev

Matthew Drage MCSI  
Deloitte

Samuel Farage  
KPMG

Haifei Feng

Catherine Francey  
Egerton Capital

Tarak Gajjar

Scott Garratley, Chartered MCSI  
Sorbus Partners

Crispin Glasgow, Chartered MCSI  
Glasgow Consulting

Jenny Glynn ACSI

Paul Green  
Thames River Multi Capital

Kulbir Grewal, Chartered MCSI  
Deloitte

Junyu He MCSI  
Imperial College London

Anh Hoang MCSI

Minyue Huang MCSI

Niall Husbands MCSI

Christopher Jenkins MCSI  
JLT Group

Christopher Johnstone MCSI  
Speirs & Jeffrey

Aled Jones, Chartered MCSI  
Hargreave Hale

Catherine Jones MCSI  
Investec

Hyun Kim  
Odey Wealth Management

Jekaterina Klestsjonok

David Kness, Chartered FCSI  
Rathbones

Sam Lansdowne, Chartered MCSI  
Thesis Asset Management

Zhen Liu, Chartered MCSI  
Morgan Stanley

Robert Lloydking  
University of the West of England

Matthew Mahoney MCSI  
Brown Shipley

Ho-Fung Man  
Royal Bank of Scotland

Mark McBride, Chartered FCSI  
Jersey Financial Services Commission

Stuart Milne MCSI  
Speirs & Jeffrey

Sarah Mitchell MCSI  
Baillie Gifford

Sergio Molina Gutierrez MCSI  
Afore Sura

Taro Morris

James Mulholland MCSI  
Speirs & Jeffrey

Winnie Ngei

Jocelyn North MCSI  
Ruffer

John Oyo MCSI  
University Of Westminster Business  
School

Alexandros Papageorgiou

David Pelczar MCSI

Natasha Raithatha

Stuart Saberi

Edward Sealy  
KPMG

Nicola Sergeant

Matthew Smith MCSI  
Ruffer

John Sturges, Chartered FCSI  
Jefferies

Tarek Touqan MCSI  
University of Exeter School of Business &  
Economics

Sergey Tsarapkin  
ICMA Centre

Swati Tukadia MCSI

Emma Varley MCSI  
Ruffer

Geoff Walsh, Chartered MCSI  
Xafinity Consulting

Xi Ya Yang MCSI

# Awards Ceremony 2016 Achievers

## Certificate in Corporate Finance

Dani Abdallah  
Arma Partners

Kaitan Agrawal  
Arma Partners

Hassan Ahmed  
Citi

Alamgir Ahmed  
ING

Per Martin Akesson  
Citi

Philipp Albath  
Greenhill

Sarah Al-Hussaini  
Lazard

Vanja Alincic ACSI

Alexander Allen  
Hannam & Partners

Anthony Alvarez  
Houlihan Lokey

Shray Amar  
Ondra Partners

Marcello Antoniozzi  
Citi

Elizabeth Antrobus  
Grant Thornton

Matthew Ashcroft  
Barclays Capital

Carl Christoffer Ask  
Citi

David Askew  
Royal Bank of Canada

Younna Assaf  
Ondra Partners

Elisabetta Assi  
Citi

Glenn Atherton  
Citi

Leila Atwi

Gary Auld

Alexandre Aymard  
Torch Partners

James Bailey  
Evercore

Nicole Baker  
Citi

Aijamal Bakitbek  
ING

Sandeep Bakshi  
Citi

Kate Bannatyne  
finnCap

Jake Barker  
Bank of Montreal

Luke Bath

Matthew Batterbury  
William Blair

Lillia Benzine

Valerie Bertrand ACSI

Arjun Bhatia  
Bank of Tokyo Mitsubishi UFJ

Samuel Biesiada  
Citi

Sloboda Bijelic  
Citi

Denis Biveinis

James Blackburn

Romain Bohbote  
Citi

Alessandro Boraga  
Citi

Holly Bott  
Barclays Bank

Juliette Bouchart  
Royal Bank of Canada

Christian Boulter  
BMO Capital Markets

Brandon Bowen  
Fieldstone

Andrew Boyd  
HSBC Bank

Corneel Braber  
ING

Xavia Bramwell-Pearson

Christopher Brown  
Barclays Capital

Amanda Brown  
Kingston Smith

Romain Georges Joseph Brunet  
Arma Partners

Laith Bugaighis  
European Bank Reconstruction &  
Development

Liam Bulmer

Sotiris Calochristos  
Citi

Daniele Candela  
Kohlberg Kravis Roberts

Daniel Carroll  
Evercore

Peter Carter  
Deloitte

Jorge Casado  
Evercore

Adriano Casale  
Citi

Richard Cha  
Royal Bank of Canada

Alexander Raphael ChajECKI  
Kohlberg Kravis Roberts

Reuben Chan  
Jefferies

King-Him Chan

Stanislas Chanavat  
Torch Partners

En-Chi Chang  
Lazard

Evis Charalambous  
Citi

Georgi Chilikov  
Evercore

Nicoleta Chiriac  
Royal Bank of Canada

Virgil Chitu  
Citi

Umito Choji

Jonathan Chu  
Lazard

Alejandro Felipe Ciruelos Alonso

# Awards Ceremony 2016 Achievers

Anne-Lore Claude  
Royal Bank of Canada

Philipp Claus  
Piper Jaffray

Philip Clausen-Thue  
Jefferies

James Cliffe  
Lazard

Chloe Clift  
HSBC

Deborah Collignon  
Perella Weinberg Partners

Simon Compton  
Bank of Montreal

David Connern  
Jefferies

Robert Cook  
National Audit Office

Benjamin Corley

Frederick Crone  
Charles Stanley & Co

Ronan Crotty  
Citi

Thibault Dabas  
Royal Bank of Canada

Andrea Dann  
HSBC Bank

Callum Davidson  
Cenkos Securities

Andrew Davison  
Jefferies

Maria De Juan

Fleur De Koning  
Citi

Dilantha De Silva  
The Lanka BPO Academy

Jeffrey de Sousa  
Cutfield Freeman & Co

Charnel de Villiers

Oliver Dean  
Lazard

Tom Death  
I.F. Mackinnon

Achille Del Pizzo  
Greenhill

Georgios Demetriou ACSI  
KPMG

James Dewey  
Grant Thornton

Alfonso Diaz  
Haitong Securities

David Dieppois  
Royal Bank of Canada

Norayr Djerrahian  
Jefferies

William Dobbie  
Arma Partners

Shane Doherty

Fernando Dominguez de Posada  
Santander

Cordelia Dorthe O'Hare  
Kohlberg Kravis Roberts

Louis Douville de Franssu  
Campbell Lutyens

Haoshan Duan

Taryn Duckworth  
Centrica

William Dufton  
Lazard

Gareth Dyson

Thomas Easterby  
Barclays Capital

Archibald William Owen England  
Strata

Carolina Espana  
Citi

Marc Estagnasie  
Arma Partners

Berta Esteban  
Santander

Samuel Evans

Miriam Fahey

Simon Fahy  
Grant Thornton

Luke Farrelly  
ING

Denisa Fatusan  
Houlihan Lokey

Hayley Fenton  
Lazard

Hannah Finch  
Investec

Felix Finkler

Kim Fischer

Arjun Flora

Maxime Foraud

Matteo Forlai

Arnaud Foucher  
Evercore

Laurent Foulhouze  
Renaissance Capital Services

Karen Fraser  
Grant Thornton

David Fudge  
Citi

Claudio Fullin  
ING

Jiabei Gao

Maksim Gaultsel

William Gazley  
Royal Bank of Canada

Ross Gelber  
Royal Bank of Canada

Jens Gerlach  
Citi

Benjamin Gibson  
Moelis & Company

Benjamin Gillet  
HSBC Bank

Matthew Glen  
ING

Yvette Gombau ACSI  
Bank of Tokyo Mitsubishi UFJ

Cristina Gonzalez Rodriguez  
Kohlberg Kravis Roberts

Ludovic Grandchamp des Raux  
Savigny Partners

Hamish Grant  
Lazard

Tina Greve

Jacob Gritter  
Evercore

Tinashe Gwena  
EM Lease

# Awards Ceremony 2016 Achievers

Mohammad Arif Haji Awang Raya

John Hamilton  
Simmons & Co International

Damian Harniess ACSI  
Jefferies

Jeremy Harris

Issa Hassan  
Moelis & Company

Bennet Heidenreich  
Perella Weinberg Partners

Nicholas Hendy  
Citi

Thi Quynh Ho  
Houlihan Lokey

Laura Hoddell

Isabel Holder

Stephanie Holland  
Barclays Capital

Paul Hollingshead

Edward Holman

William Holmes  
Perella Weinberg Partners

Thomas Horton  
Barclays Capital

Oberon Houston

Nikhil Aanand Howai  
Citi

Panpan Huang

Matthew Hudson  
Gleacher Shacklock

Daniel Hughes

Caroline Hultman  
Greenhill

Jeremy Humilier  
Royal Bank of Canada

Daniel Hurst  
Barclays Capital

Anwar Hussain

Seema Ibrahim Bacha  
Citi

Nikola Ilic  
Citi

Einar Ingvarsson  
Citi

Jitesh Jeswani  
Citi

Javier Jimenez Labrado  
Santander

Vikram Jindal  
Citi

Michael Johnson  
Cenkos Securities

Tadas Jonusauskas  
Citi

Anogie Joseph-Erameh  
Kohlberg Kravis Roberts

Danelle Jung  
Citi

Alex Juska  
Kohlberg Kravis Roberts

Niklas Karlsson  
Evercore

Mrinal Kataria  
Ondra Partners

Kuleen Kathuria  
BMO Capital Markets

Violeta Katzova

Andishe Kazeroonian

Graham Keable  
Barclays Capital

Kirsty Keay

James Keeshan  
SPARK Advisory Partners

Rahul Kejriwal  
Torch Partners

Eoghan Kelly  
Citi

Matthew Kensit  
Citi

Neil Keogh

Osman Khan  
Deloitte

Shalin Khanna

Sabrina Khushi  
Smith & Williamson

Nolwenn Khy  
Citi

Zeid Kilani ACSI  
Ernst & Young

Matthew King

Elizabeth Kirchner  
Daniel Stewart

Bretislav Klapste  
Citi

Sebastian Kockeis  
Royal Bank of Canada

Felipe Kodellas de la Morena  
Kohlberg Kravis Roberts

Dimitris Kofitsas  
Blackstone

Andre Konrad  
Perella Weinberg Partners

Katarzyna Kornasiewicz  
Citi

David Kovacs  
Strata

Tim Kraemer  
Citi

Lars Kraemer  
Citi

Danas Kristanavicius  
Royal Bank of Canada

Giovanni la Forgia  
Citi

Joel Lambert

Matthew Langan  
HSBC Bank

Chad Langley  
Torch Partners

Christoffer Larsen  
Houlihan Lokey

Jacob Bjerre Fisker Laursen  
Lazard

Jonathan Lavin  
Barclays Capital

Marie Le Dorner  
Bank of Tokyo Mitsubishi UFJ

Andrew Lee

Matthew Leicester  
Arma Partners

Hamish Leiper ACSI  
Standard Life

Womba Lemba  
Royal Bank of Canada

# Awards Ceremony 2016 Achievers

Guillaume Leredde  
Barclays Capital

Hugo Lesourd  
HSBC Bank

Richard Lewis

Zhiman Li  
Bank of Tokyo Mitsubishi UFJ

Jason Li  
Torch Partners

Serge Naftali Licht  
William Blair

Mitchell Limb  
GMP Securities

Pontus Lindberg  
Citi

Nicole Marie Livesley  
Kohlberg Kravis Roberts

Irina Lomova

Owen Loudon

Matthew Loynes

Alexis Lui  
Crowdcube

Ross Lumsden  
HSBC Bank

Rachel Lund  
KPMG

Zachary Maasbach

Kevin Macaigne  
Citi

Artem Magdenko  
Citi

Yousra Mahmood  
Citi

Mohammad Kamran Mahmood  
Citi

Muhabbat Mahmudova  
ING

Fabio Maio  
Perella Weinberg Partners

Johann Malaper  
Houlihan Lokey

Hachadur Manikyan  
Bank of Montreal

Florencia Mantecon  
Zephus

Xiaotian Mao ACSI  
VCP Advisors

Diane Martel  
Royal Bank of Canada

Patrick Martin  
Strata

Maulik Bharat Mashkaria  
Citi

Trevor Matthews  
Bank of Montreal

Graham Matthews

Andreas Maximilian Niederle  
Perella Weinberg Partners

Nadine Mazhar  
Citi

Jakub Maziarz  
Royal Bank of Canada

Kalomba Mboyi

Deirdre McCabe  
NAMA

Andrew McDonald  
Barclays

Diarmuid McGann

Caroline McGill  
Deloitte

Teresa McLaughlin

Andres Enrique Medina Ballester  
Arma Partners

Tautvydas Medzius  
RBC Capital Markets

Philipp Meindl  
Torch Partners

Joseph Mellings  
Barclays Capital

Rinki Melwani  
Citi

Martha Mends  
Evercore

Maxime Menu  
Lazard

Samuel Merlin  
Hannam & Partners

Joerg Metzner  
Kohlberg Kravis Roberts

Jordan Michali  
Houlihan Lokey

Robert Mindell

Stanislava Mineva  
Grant Thornton

Jack Minns  
Larking Gowen

James Moggeridge MCSI  
Kingston Smith

Henry Monkcom  
Lazard

Fabio Monteine  
Bank of Tokyo Mitsubishi UFJ

Thomas Morrell

Tristan Morten-Spencer

Gordon Murphy

James Harry Mustard  
Citi

Yasmin Nabi  
Barclays Capital

Aman Nasir  
Royal Bank of Canada

Karim Nasr  
Houlihan Lokey

Hannah Nicholson  
Hannam & Partners

Zhonglun Nie  
Lazard

Katsoglou Nikolaos  
Citi

Jake Nixon

Nyaniso Nkubungu

Richard Norton  
Kohlberg Kravis Roberts

David Noton  
Barclays Capital

Graceanne O'Brien

Tom O'Dowd

Abdullateef Odunowo  
Renaissance Capital Services

Lydia Ong  
Royal Bank of Canada

Duncan Orchard

Esther Oseni  
Citi



# Awards Ceremony 2016 Achievers

Sebastian O'Shea-Farren  
Lazard

Cynthia Oueiss  
Citi

Marcello Pains  
Deutsche Bank

Pietro Paletta  
Citi

Rupert Palmer

Harry Pardoe  
Cenkos Securities

Alexander Parolov  
Citi

Pedrum Partovi  
Torch Partners

Eugeniu Pascal  
Unicredit Bank

Desmond Passey ACSI

Darshan Patel  
Hybridan

Keval Patel  
Lazard

Dipam Patel

Ranieri Paterno  
Royal Bank of Canada

Jamie Patmore  
Westhouse Securities

James Paton  
Bank of Tokyo Mitsubishi UFJ

Jessica Payne  
Code Advisors

Alessandro Perona  
Citi

Erick Gustav Pettersson  
Houlihan Lokey

Jack Phillips MCSI  
Cenkos Securities

Solweig Pierronnet  
Cantor Fitzgerald Europe

Pierre Piquemal  
Royal Bank of Canada

Joseph Pithouse

Svetlana Popkova

Jake Powis

Sandra Prince  
Barclays Capital

Mats Pujols  
Greenhill

Edmund Purves  
Santander

Gavin Quantock ACSI  
Deloitte

Sreenivasan Ramakrishna Iyer ACSI  
Reserve Bank of India

Nuno Miguel Ramos dos Santos  
Citi

Jaiye Randle

Dmitry Rastorguev

Pascal Redaoui  
Arma Partners

Chris Richold ACSI  
Santander

Murtaza Murad Rind  
Royal Bank of Canada

William Rix  
HSBC Bank

William Robertson  
Quayle Munro

Richard Robinson  
Barclays Capital

Ian Rodger  
RFC Ambrian Limited

Christopher Rodgers ACSI

Ernesto Rodrigo Jordan  
Aon

Sarah Roerden  
Citi

Jack Rogers  
Lazard

Adam Rogers  
Lloyds Banking Group

Giles Rolls

Julie Sachot  
Perella Weinberg Partners

Shivon Sama  
Evercore

Sumit Sandal  
Arma Partners

Philipp Michael Schaelli  
Kohlberg Kravis Roberts

James Scholes  
HSBC Bank

Christopher Schubert  
Greenhill

Geoffrey Scodie

Peter Seamer, Chartered FCSI  
Arbuthnot Latham

Bexultan Seisembayev  
BMO Capital Markets

Fabian Selzam  
Greenhill

Jonathan Sengendo  
Moelis & Company

Rishabh Sethia  
Torch Partners

Raphael Sfairi  
Hannam & Partners

Shiqi Shan  
Citi

Shashwat Sharma  
Hannam & Partners

Natasha Sheel

Max Slattery  
Spayne Lindsay

Tania Smith ACSI  
ARUP

Ingrid Johanne Smith-Sivertsen  
Citi

Andrew Smyth  
Arma Partners

Omar Soliman  
Evercore

Ana-Elena Sotropa  
ING

Elisabeth Springer  
Citi

Evgenii Stark  
Citi

Michael Starke  
Standard Advisory London

Vasilisa Starodubtseva ACSI

Michelle Jane Stewart  
Citi

# Awards Ceremony 2016 Achievers

Louise Stonestreet  
Department for Business Innovation &  
Skills

Luke Stopford Sackville  
Lazard

Timothy Ross Strike ACSI  
Citi

Roscoe Sun  
Greenhill

Manda Suneel  
SBICAP

Petra Szaloky

Gregoire Taillet  
ING

Varun Talwar  
Bank of Montreal

Georgi Tashev  
Perella Weinberg Partners

Richard Tasker ACSI

Andreas Televantos  
Royal Bank of Canada

Paolo Testoni  
Citi

Edward Thomas  
Investec

Robert Thomas  
Torch Partners

William Edward Thomas

Nicholas Thompson MCSI  
Kingston Smith

James Todd  
ING

Carmelo Truscello  
Citi

James Tugwell  
Lazard

Caroline Twist

Akila Gihan Madusanka Uggalbewla  
Hewagamage  
The Lanka BPO Academy

James Umbers  
CMB

Denisam Vaduva  
Citi

Federico Velardi  
Citi

Jean Vercoutter  
Bank of Tokyo Mitsubishi UFJ

Nikolay Vitanov  
Citi

Gregor Vlasak  
Perella Weinberg Partners

Arthur Wakeley  
Lazard

Matthew Wakeman  
Barclays Capital

Alice Walker  
Lazard

Kristina Wallner  
Citi

Wei Wang  
ZAI Corporate Finance

Sam Ward

Adam Watson  
HSBC Bank

Robert Webb

Paul Weeks

Wen Wei  
Citi

Jason Welham

Jack Weller  
Lazard

Gustav Westin  
Citi

Anders White  
Barclays

Christopher White  
Houlihan Lokey

Richard Whittaker  
Barclays

Neil Wigley  
Barclays

Tom Williams

Charlie Williams

David Willis  
Bank of Ireland

Felix-Merlin Wingert  
Royal Bank of Canada

Neil Wishart  
Barclays Capital

Jack Wood  
Royal Bank of Canada

Mingwei Xia  
Greenhill

Sophie Yana

Kelin Ye  
Lazard

Kenneth Yick  
Houlihan Lokey

Osman Youness  
William Blair

James Young  
Lazard

Eric Yu

Ehsanullah Zamani  
Citi

Dmitry Zaporozhskiy  
Citi

Massimiliano Zeni  
Citi

Jingou Zhang  
Citi

Mu Zhang

Hannah (Han) Zhang  
Royal Bank of Canada

Guocheng Zhong  
Citi

Sophie Zhou  
Bank of Tokyo Mitsubishi UFJ

# Awards Ceremony 2016 Achievers

## Diploma in Corporate Finance

**Bradley Agnew MCSI**  
Cognita School

**Samantha Amarasinghe**  
Clydesdale Bank

**Rohan Badade**

**Michael Bunting**  
Herax Partners

**James Cooper**  
Lovewell Blake

**Thomas Cunningham MCSI**  
SRP2

**Saurabh Das**

**George Demosthenous**  
KPMG

**Yandie Deng MCSI**  
Ernst & Young

**Julien Eaton MCSI**  
InfraRed Capital Partners

**Danny Evans**  
Champion Consulting

**John Fulton**  
Tufton Oceanic

**Colin George**  
Ernst & Young

**Ben Hewes MCSI**  
Audatex

**Scott Houston**  
Investment Corporation of Dubai

**James Kenward MCSI**  
BCMS Corporate

**Jason Kirkbride**

**Wing Pong Keith Kwan**

**Gemma Legg**  
Baker Tilly

**Marios Liassides MCSI**

**Jwei Di Lim**

**Andrew Malcolm**  
WPP 2005

**Peter O'Connor**  
Bank of Ireland

**Premal Parekh**  
Grant Thornton

**Matthew Pomroy**  
Mazars Financial Planning

**Kok Meng Dennis Pu**

**Robert Rattray MCSI**  
R2 Finance

**Dexter Robertson**  
Baker Tilly

**James Robinson**

**Harriet Rosethorn**  
GP Bullhound

**Lianne Ross**  
Simmons & Co International

**John Slaughter**  
Midven

**Wai Leong Soon**  
Inter-Pacific Securities

**Jonathan Stead**  
Altium Capital

**Ajay Vashisht MCSI**  
Post Office

**Leontios Vassiliou MCSI**

**David Wrench MCSI**  
Grant Thornton

# Awards Ceremony 2016 Achievers

## Chartered Wealth Manager Qualification

Alexander Allen MCSI  
Brewin Dolphin

Rosanna Arikoglu MCSI  
Smith & Williamson

Matthew Atkinson, Chartered FCSI  
Canaccord Genuity Wealth

David Baldry, Chartered FCSI  
Killik & Co

Frederick Barker, Chartered FCSI  
Charles Stanley & Co

Michael Barr MCSI  
Brooks MacDonald Asset Management

Tiffany Beard MCSI  
Brooks Macdonald Asset Management

Gudmundur Benediktsson MCSI  
Arkwright

Robert Bethell MCSI  
Little & Georgiou

Nicholas Boe MCSI  
Brewin Dolphin

Kevin Bowhay, Chartered MCSI  
Rowan Dartington

Costakis Boyiadjis MCSI

Annabel Breakey, Chartered MCSI  
Kleinwort Benson

Byron Burghart, Chartered MCSI  
Brewin Dolphin

Thomas Burne MCSI  
Cazenove Capital Management

Laura Charles MCSI  
James Hambro & Partners

Stephen Chew MCSI  
Towry

Jonathan Chitty, Chartered MCSI  
Brown Shipley

Simon Cleaver MCSI  
Investec

Richard Cohen MCSI  
Nsure Financial Services

Ruairi Connery MCSI  
Charles Stanley & Co

Ryan Cruise, Chartered MCSI  
Investec

Philip Day MCSI  
Brewin Dolphin

Tony De Marco MCSI  
Charles Stanley & Co

Paul Dixey MCSI  
Quilter Cheviot

Tommy Truong Doan MCSI  
Citibank

William Dobbs MCSI  
Charles Stanley & Co

Tara-Beth Donnelly, Chartered MCSI  
Investec

Sean Durrad MCSI  
Psigma Investment Management

Onochie Eneh MCSI  
Redmayne Bentley

James Hector Reid Falconer,  
Chartered MCSI  
Investec

Pauline Fernandes, Chartered MCSI  
Charles Stanley & Co

Emma Foden-Pattinson MCSI  
Charles Stanley & Co

Michael Graham MCSI  
Brewin Dolphin

Adam Greaves MCSI  
Brooks Macdonald Asset Management

Ranjeet Guptara MCSI  
Cantab Asset Management

Michael Halfhead, Chartered MCSI  
HSBC

Matthew Hall MCSI  
Charles Stanley & Co

Samuel Harbord-Hamond,  
Chartered MCSI  
Cazenove Capital Management

James Hardy MCSI  
Charles Stanley & Co

Kelly Harkins, Chartered MCSI  
Brewin Dolphin

George Harper MCSI  
Brewin Dolphin

Daniel Higginson MCSI  
Brewin Dolphin

Lawrence Holdron MCSI  
Brooks Macdonald Asset Management

David Hugo

Luke Hunter MCSI  
Smith & Williamson

Michael Hunwick, Chartered MCSI  
SS & C

Kushan Jayawardena MCSI  
Duncan Lawrie

Edward Johnstone, Chartered MCSI  
HSBC

Fred Jones MCSI  
Tilney Bestinvest

Mark King MCSI  
JM Finn & Co

Philip Kirwan MCSI  
Blankstone Sington

Dominic Lacey MCSI  
4 Shires Asset Management

Samuel Lathey MCSI  
Quilter Cheviot

Tom Leck MCSI  
Smith & Williamson

Thomas Lovell, Chartered FCSI  
Rathbones

Stewart Mackness, Chartered MCSI  
Brewin Dolphin

Claire MacLean MCSI  
Investec

Peter Magee MCSI  
Smith & Williamson

Adam Martell MCSI  
Brewin Dolphin

Laura McLelland, Chartered MCSI  
Brooks Macdonald Asset Management

Charlotte Moseley MCSI  
HSBC

Simon Moss MCSI  
Brewin Dolphin

Phil Mountford MCSI  
Investec

James Osborne, Chartered MCSI  
Brewin Dolphin

# Awards Ceremony 2016 Achievers

**Ben Palmer MCSI**  
Brooks Macdonald Asset Management

**Jay Patel MCSI**  
Charles Stanley & Co

**Shameer Patel MCSI**  
Fowler Drew

**Emma Payne**  
Cazenove Capital Management

**Jennifer Perry**  
UBS Wealth Management

**Helena Powell MCSI**  
Smith & Williamson

**Stephen Quick, Chartered MCSI**  
Rathbones

**Alex Rawsthorn MCSI**  
Brewin Dolphin

**Ian Richardson MCSI**  
Financial Services Training Partners

**Matthew Roche, Chartered MCSI**  
Killik & Co

**William Rogers MCSI**  
RBC Wealth Management

**Maya Sayadi Jisr MCSI**

**Laurence Seeff, Chartered FCSI**  
BPP Professional Education

**Stephen Shaw MCSI**

**Georgia Sherman MCSI**  
Quilter Cheviot

**Frederick Short MCSI**  
Brewin Dolphin

**Jonathan Snell, Chartered FCSI**  
Charles Stanley & Co

**Andrew Stevenson MCSI**  
Chase de Vere Independent Financial  
Advisers

**Victoria Stevenson MCSI**  
Quilter Cheviot

**Tom Stone MCSI**  
Quilter Cheviot

**Adam Tankard, Chartered MCSI**  
Tankard Wealth

**Sebastian Taylor MCSI**  
Charles Stanley & Co

**Samuel Taylor MCSI**  
Smith & Williamson

**Sarah Thi**  
Mattioli Woods

**Laura Tindley MCSI**  
Schroders

**John Charles Todd MCSI**  
Quilter Cheviot

**Bridget Trevor-Jones MCSI**  
Investec

**Richard Vernon, Chartered MCSI**  
Quilter Cheviot

**Katie Waite, Chartered MCSI**  
Brewin Dolphin

**Mark Walsh MCSI**  
Investec

**Sophie Ward, Chartered MCSI**  
HSBC Private Bank

**Henry Webster MCSI**  
Charles Stanley & Co

**Marion Weindling**  
Smith & Williamson

**Gary Welford, Chartered MCSI**  
Brewin Dolphin

**Camilla Wharton MCSI**  
Investec

**Mark Wheeldon MCSI**  
Brewin Dolphin

**Lauren White MCSI**  
Brewin Dolphin

**Nicholas Wolf MCSI**  
Barclays Bank

**Hugo Wood MCSI**  
Smith & Williamson

**James Woodfall, Chartered MCSI**  
St James's Place Wealth Management

**Daniel Worthing MCSI**  
Brooks Macdonald Asset Management

# Recognising future leaders



## RBC Wealth Management – exclusive sponsor of the CISI Awards

At RBC Wealth Management we believe that continuous learning empowers people to grow and achieve their full potential. We are therefore delighted, for the third consecutive year, to sponsor the CISI Awards Ceremony and to recognise the top performers in the International Certificate in Wealth & Investment Management and the Certificate in Private Client Advice.

Congratulations to all the 2016 Award winners. Investing in the development of your skills will enable your path to future success.

To learn about a career with RBC Wealth Management, visit [rbcwealthmanagement.com](http://rbcwealthmanagement.com).



**Wealth  
Management**



© April 2016

Chartered Institute for Securities & Investment,  
8 Eastcheap, London EC3M 1AE

 [cisi.org](http://cisi.org)

 +44 20 7645 0777

 [customersupport@cisi.org](mailto:customersupport@cisi.org)

 @cisi

 [cisi.org/linkedin](http://cisi.org/linkedin)

All rights reserved. No part of this brochure may be reproduced, stored in a retrieval system, or transmitted, in any form or by any means, electronic, mechanical, photocopying, recorded or otherwise without the prior permission of the copyright owner.

Registered charity number 1132642